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<b>(21) International Application Number:</b> PCT/US98/11452 <b>(22) International Filing Date:</b> 2 June 1998 (02.06.98)  <b>(30) Priority Data:</b> 08/867,314                      2 June 1997 (02.06.97)                      US 09/000,000                      29 May 1998 (29.05.98)                      US  <b>(71) Applicant:</b> SUBSIDIARY NO. 3, INC. [US/US]; 3151 17th Street Extension, Wilmington, NC 28412 (US).  <b>(72) Inventors:</b> HOLZMAYER, Tanya, A.; 880 Windmill Park Lane, Mountain View, CA 94043 (US). DUNN, Stephen, J.; 80 Paul Avenue, Mountain View, CA 94041 (US). DAYN, Andrew; 880 Windmill Park Lane, Mountain View, CA 94043 (US).  <b>(74) Agents:</b> POISSANT, Brian, M. et al.; Pennie & Edmonds LLP, 1155 Avenue of the Americas, New York, NY 10036 (US).		<b>(81) Designated States:</b> AL, AM, AU, AZ, BA, BB, BG, BR, BY, CA, CN, CU, CZ, EE, GE, GH, GW, HU, ID, IL, IS, JP, KG, KP, KR, KZ, LC, LK, LR, LT, LV, MD, MG, MK, MN, MX, NO, NZ, PL, RO, RU, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UZ, VN, YU, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> COMPOSITIONS AND METHODS FOR INHIBITING HUMAN IMMUNODEFICIENCY VIRUS INFECTION BY DOWN-REGULATING HUMAN CELLULAR GENES		
<b>(57) Abstract</b>  The present invention relates to the identification of a number of human genes as cellular targets for the design of therapeutic agents for suppressing human immunodeficiency virus infection. These genes encode products which appear to be necessary for HIV replication, as evidenced by an inhibition of HIV infection in cells in which the expression of these genes is down-regulated. In addition, the invention also relates to methods for identifying additional cellular genes as therapeutic targets for suppressing HIV infection, and methods of using such cellular genes and their encoded products in screening assays for selecting additional inhibitors of HIV.		

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**COMPOSITIONS AND METHODS FOR INHIBITING  
HUMAN IMMUNODEFICIENCY VIRUS INFECTION  
BY DOWN-REGULATING HUMAN CELLULAR GENES**

**1. INTRODUCTION**

5       The present invention relates to the identification of a number of human genes as cellular targets for the design of therapeutic agents for suppressing human immunodeficiency virus (HIV) infection. These genes encode products which appear to be necessary for HIV replication, as evidenced by  
10 an inhibition of HIV infection in cells in which the expression of these genes is down-regulated. Therefore, inhibitors of these genes and their encoded products may be used as therapeutic agents for the treatment and/or prevention of HIV infection. In addition, the invention also  
15 relates to methods for identifying additional cellular genes as therapeutic targets for suppressing HIV infection, and methods of using such cellular genes and their encoded products in screening assays for selecting additional inhibitors of HIV.

20

**2. BACKGROUND OF THE INVENTION**

**2.1. THE HUMAN IMMUNODEFICIENCY VIRUS**

The primary cause of acquired immunodeficiency syndrome (AIDS) has been shown to be HIV (Barre-Sinoussi et al., 1983,  
25 Science 220:868-870; Gallo et al., 1984, Science 224:500-503). HIV causes immunodeficiency in an individual by infecting important cell types of the immune system, which results in their depletion. This, in turn, leads to opportunistic infections, neoplastic growth and death.

30       HIV is a member of the lentivirus family of retroviruses (Teich et al., 1984, RNA Tumor Viruses, Weiss et al., eds., CSH-Press, pp. 949-956). Retroviruses are small enveloped viruses that contain a diploid, single-stranded RNA genome, and replicate via a DNA intermediate produced by a virally-  
35 encoded reverse transcriptase, an RNA-dependent DNA polymerase (Varmus, 1988, Science 240:1427-1439). There are at least two distinct subtypes of HIV: HIV-1 (Barre-Sinoussi et al., 1983, Science 220:868-870; Gallo et al., 1984,

Science 224:500-503) and HIV-2 (Clavel et al., 1986, Science 233:343-346; Guyader et al., 1987, Nature 326:662-669).

Genetic heterogeneity exists within each of these HIV subtypes.

- 5 CD4<sup>+</sup> T cells are the major targets of HIV infection because the CD4 cell surface protein acts as a cellular receptor for HIV attachment (Dalglish et al., 1984, Nature 312:763-767; Klatzmann et al., 1984, Nature 312:767-768; Maddon et al., 1986, Cell 47:333-348). Viral entry into  
10 cells is dependent upon viral protein gp120 binding to the cellular CD4 receptor molecule (McDougal et al., 1986, Science 231:382-385; Maddon et al., 1986, Cell 47:333-348).

## 2.2. HIV TREATMENT

- 15 HIV infection is pandemic and HIV-associated diseases have become a world-wide health problem. Despite considerable efforts in the design of anti-HIV modalities, there is, thus far, no successful prophylactic or therapeutic regimen against AIDS. However, several stages of the HIV  
20 life cycle have been considered as potential targets for therapeutic intervention (Mitsuya et al., 1991, FASEB J. 5:2369-2381). For example, virally-encoded reverse transcriptase has been a major focus of drug development. A number of reverse-transcriptase-targeted drugs, including  
25 2',3'-dideoxynucleotide analogs such as AZT, ddI, ddC, and ddT have been shown to be active against HIV (Mitsuya et al., 1990, Science 249:1533-1544). While beneficial, these nucleotide analogs are not curative, probably due to the rapid appearance of drug resistant HIV mutants (Lander et  
30 al., 1989, Science 243:1731-1734). In addition, these drugs often exhibit toxic side effects, such as bone marrow suppression, vomiting, and liver abnormalities.

- Another stage of the HIV life cycle that has been targeted is viral entry into the cells, the earliest stage of  
35 HIV infection. This approach has primarily utilized recombinant soluble CD4 protein to inhibit infection of CD4<sup>+</sup> T cells by some HIV-1 strains (Smith et al., 1987, Science

238:1704-1707). Certain primary HIV-1 isolates, however, are relatively less sensitive to inhibition by recombinant CD4 (Daar et al., 1990, Proc. Natl. Acad. Sci. USA 87:6574-6579). To date, clinical trials of recombinant, soluble CD4 have  
5 produced inconclusive results (Schooley et al., 1990, Ann. Int. Med. 112:247-253; Kahn et al., 1990, Ann. Int. Med. 112:254-261; Yarchoan et al., 1989, Proc. Vth Int. Conf. on AIDS, p. 564, MCP 137).

Additionally, the later stages of HIV replication which  
10 involve crucial virus-specific secondary processing of certain viral proteins and enzymes have been targeted for anti-HIV drug development. Late stage processing is dependent on the activity of a virally-encoded protease, and drugs including saquinavir, ritonavir, and indinavir have  
15 been developed to inhibit this protease (Pettit et al., 1993, Persp. Drug. Discov. Design 1:69-83). With this class of drugs, the emergence of drug resistant HIV mutants is also a problem; resistance to one inhibitor often confers cross resistance to other protease inhibitors (Condra et al., 1995,  
20 Nature 374:569-571). These drugs often exhibit toxic side effects such as nausea, altered taste, circumoral paresthesias, fat deposits, diarrhea and nephrolithiasis.

Antiviral therapy of HIV using different combinations of nucleoside analogs and protease inhibitors have recently been  
25 shown to be more effective than the use of a single drug alone (Torres et al., 1997, Infec. Med. 14:142-160). However, despite the ability to achieve significant decreases in viral burden, there is no evidence to date that combinations of available drugs will afford a curative  
30 treatment for AIDS.

Other potential approaches for developing treatment for AIDS include the delivery of exogenous genes into infected cells. One such gene therapy approach involves the use of genetically-engineered viral vectors to introduce toxic gene  
35 products to kill HIV-infected cells. Another form of gene therapy is designed to protect virally-infected cells from cytolysis by specifically disrupting viral replication.

Stable expression of RNA-based (decoys, antisense and ribozymes) or protein-based (transdominant mutants) HIV-1 antiviral agents can inhibit certain stages of the viral life cycle. A number of anti-HIV suppressors have been reported, 5 such as decoy RNA of TAR or RRE (Sullenger et al., 1990, Cell 63:601-608; Sullenger et al., 1991, J. Virol. 65:6811-6816; Lisziewicz et al., 1993, New Biol. 3:82-89; Lee et al., 1994, J. Virol. 68:8254-8264), ribozymes (Sarver et al., 1990, Science 247:1222-1225; Wecrasinghe et al., 1991, J. Virol. 10 65:5531-5534; Dropulic et al., 1992, J. Virol. 66:1432-1441; Ojwang et al., 1992, Proc. Natl. Acad. Sci. U.S.A. 89:10802-10806; Yu et al., 1993, Proc. Natl. Acad. Sci. U.S.A. 90:6340-6344; Yu et al., 1995, Proc. Natl. Acad. Sci. U.S.A. 92:699-703; Yamada et al., 1994, Gene Therapy 1:38-45), 15 antisense RNA complementary to the mRNA of gag, tat, rev, env (Sezakiel et al., 1991, J. Virol. 65:468-472; Chatterjee et al., 1992, Science 258:1485-1488; Rhodes et al., 1990, J. Gen. Virol. 71:1965. Rhodes et al., 1991, AIDS 5:145-151; Sezakiel et al., 1992, J. Virol. 66:5576-5581; Joshi et al., 20 1991, J. Virol. 65:5524-5530) and transdominant mutants including Rev (Bevec et al., 1992, Proc. Natl. Acad. Sci. U.S.A. 89:9870-9874), Tat (Pearson et al., 1990, Proc. Natl. Acad. Sci. U.S.A. 87:5079-5083; Modesti et al., 1991, New Biol. 3:759-768), Gag (Trono et al., 1989, Cell 59:113-120), 25 Env (Bushschacher et al., 1995, J. Virol. 69:1344-1348) and protease (Junker et al., 1996, J. Virol. 70:7765-7772).

Antisense polynucleotides have been designed to complex with and sequester the HIV-1 transcripts (Holmes et al., WO 93/11230; Lipps et al., WO 94/10302; Kretschmer et al., EP 30 594,881; and Chatterjee et al., 1992, Science 258:1485). Furthermore, an enzymatically active RNA, termed ribozyme, has been used to cleave viral transcripts. The use of a ribozyme to generate resistance to HIV-1 in a hematopoietic cell line has been reported (Ojwang et al., 1992, Proc. Natl. Acad. Sci. USA 89:10802-06; Yamada et al., 1994, Gene Therapy 1:38-45; Ho et al., WO 94/26877; and Cech and Sullenger, WO 35 95/13379). In preclinical studies, RevM10, a transdominant

Rev protein, has been transfected ex vivo into CD4<sup>+</sup> cells of HIV-infected individuals and shown to confer survival advantage over cells transfected with vector only (Woffendin et al., 1996, Proc. Natl. Acad. Sci. U.S.A. 93:2889-2894).

5

### 2.3. CELLULAR GENES NECESSARY FOR HIV REPLICATION

Evolution of an intracellular pathogen has resulted in the development of interactions of its genes and gene products with multiple cellular components. For instance, 10 the interactions of a virus with a host cell involves binding of the virus to a specific cellular receptor(s), translocation through the cellular membrane, uncoating, replication of the viral genome, transcription of the viral genes, etc. Each of these events occurs in a cell and 15 involves interactions with a cellular component. Thus, the life cycle of a virus can be completed only if the cell is permissive. Availability of amino acids and nucleotides for replication of the viral genome and protein synthesis, energy status of the cell, the presence of cellular transcription 20 factors and enzymes all contribute to the propagation of the virus in the cell. Consequently, the cellular components, in part, determine host cell susceptibility to infection, and may be used as potential targets for the development of new therapeutic interventions. In the case of HIV, one cellular 25 component which has been used towards this end is the cell surface molecule for HIV attachment, CD4.

Recently, it was reported that HIV entry into a susceptible cell requires the expression of a second receptor, the chemokine receptors (CCR2, CCR3, CCR5 or 30 CXCR4), in addition to CD4 (Moore, 1997, Science 276:51-52). A chemokine receptor normally binds RANTES, MIP-1 $\alpha$  and MIP-1 $\beta$  as its natural ligand. In the case of HIV infection, it has been proposed that CD4 first binds to gp120 of HIV on the cell surface followed by the binding of the complex to a 35 chemokine receptor to result in viral entry into the cells (Cohen, 1997, Science 275:1261). Therefore, chemokine receptors may present an additional cellular target for the

design of HIV therapeutic agents. Inhibitors of HIV/chemokine receptor interactions are being tested as anti-HIV agents. However, there remains a need for the discovery of additional cellular targets for the design of anti-HIV  
5 therapeutics, particularly intracellular targets for disrupting viral replication after viral entry into a cell.

### 3. SUMMARY OF THE INVENTION

The present invention relates to compositions and  
10 methods for inhibiting HIV infection by down-regulating the expression and/or function of certain human cellular genes. In particular, it relates to a number of human cell-derived polynucleotides which inhibit HIV replication in susceptible cells. The isolated polynucleotides correspond to a portion  
15 of a cellular gene or a complement thereof, and are referred to herein as genetic suppressor elements (GSEs). The cellular genes encode intracellular products which are necessary for a productive HIV infection. Additionally, small molecule inhibitors of the same cellular genes and  
20 their encoded products are also within the scope of the present invention. The invention also relates to methods for identifying additional cellular genes as therapeutic targets for suppressing HIV infection, and methods for using such cellular genes and their encoded products for selecting  
25 additional inhibitors of HIV.

The invention is based, in part, on the Applicants' discovery that polynucleotides isolated from human cells can prevent the activation of latent HIV-1 in a CD4<sup>+</sup> cell line as well as productive HIV infection, and that such  
30 polynucleotides correspond to fragments of certain human cellular genes. In that connection, any cellular or viral marker associated with HIV replication can be used to select for such polynucleotides or GSEs. An example of such a marker is CD4, which is conveniently monitored by using a  
35 specific antibody.

Based on substantial sequence identity (90%-100%), a number of the isolated GSEs correspond to portions of human



cellular genes which encode different subunits of a mitochondrial enzyme complex, NADH dehydrogenase. In addition, inhibitors of this enzyme also inhibit HIV replication in susceptible host cells, including freshly  
5 isolated human CD4<sup>+</sup> T cells. Furthermore, additional GSEs have been selected which have substantial sequence identity (90% - 100%) with human cellular genes which encode 2-oxoglutarate dehydrogenase, M2-type pyruvate kinase, calnexin, ADP-ribosylation factor 3, eukaryotic initiation  
10 factor 3, protein tyrosine phosphatase, herpesvirus-associated ubiquitin-specific protease, eukaryotic initiation factor 4B, CD44, phosphatidylinositol 3 kinase and elongation factor 1 alpha.

Among the GSEs selected to inhibit HIV replication,  
15 several function in the sense orientation, while others function in the antisense orientation. Not intending to be bound by any particular theory, the GSEs of the invention are believed to down-regulate a cellular gene by different mechanisms. The GSEs are expressed in a host cell by  
20 encoding RNA molecules that may or may not encode polypeptide products. GSEs in the sense orientation may exert their effects as transdominant mutants or RNA decoys.

Transdominant mutants are expressed polypeptides which competitively inhibit the normal function of a wild-type  
25 protein in a dominant fashion. RNA decoys are protein binding sites that titrate out these proteins. GSEs in the antisense orientation may exert their effects as antisense RNA; i.e. polynucleotides complementary to the mRNA of the target gene. These polynucleotides bind to mRNA and block  
30 the translation of the mRNA. Some antisense polynucleotides may act directly at the DNA level to inhibit transcription. The down-regulation of a cellular gene by a GSE, in turn, removes a cellular component necessary for HIV replication, resulting in an inhibition of HIV infection.

35 A wide range of uses are encompassed by the invention including, but not limited to, HIV treatment and prevention by transferring GSEs as pharmaceutical compositions into HIV-

susceptible cell types. For example, GSEs may be transferred into T cells, particularly CD4<sup>+</sup> T cells which are the major cell population targeted by HIV. Alternatively, GSEs may be transferred into hematopoietic stem cells *in vitro* followed by their engraftment in an autologous or histocompatible or even histoincompatible recipient. In another embodiment, any cells susceptible to HIV infection may be directly transduced or transfected with GSEs *in vivo*. In yet another embodiment, inhibitors of NADH dehydrogenase, 2-oxoglutarate dehydrogenase, M2-type pyruvate kinase, calnexin, ADP-ribosylation factor 3, eukaryotic initiation factor 3, protein tyrosine phosphatase, herpesvirus-associated ubiquitin-specific protease, eukaryotic initiation factor 4B, CD44, phosphatidylinositol 3-kinase and elongation factor-1 alpha may be used as pharmaceutical compositions *in vivo* to suppress HIV infection.

#### 4. BRIEF DESCRIPTION OF THE DRAWINGS

- Figure 1. Percentage of CD4<sup>+</sup> OM10.1 cells diminishes after TNF- $\alpha$  induction; TNF-induced cells, -■-; uninduced cells, -◆-.
- Figure 2. Nucleotide sequence (SEQ ID No: 1) of a GSE, CF-315, which is selected for its ability to maintain CD4 expression by OM10.1 cells after TNF- $\alpha$  induction. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding the ND6 subunit of human NADH dehydrogenase.
- Figure 3. Percentage of intracellular p24<sup>+</sup> CEM-ss cells containing the CF-315 sequence (SEQ ID NO:1) after infection with HIV-1<sub>SF2</sub> at a TCID<sub>50</sub> of 1000. CEM-ss cells (10<sup>6</sup>) containing the C-315 construct or control vector DNA denoted as LNGFRM were harvested on the indicated days post infection, stained with FITC-conjugated anti-p24 and analyzed by flow cytometry. Mock

infected, -◇-; LNGFRM vector-infected, -□-;  
and C-315 infected, -Δ-.

Figure 4.

5

Nucleotide sequence (SEQ ID No: 2) of a GSE, CF-319, which is selected for its ability to maintain CD4 expression by OM10.1 cells after TNF- $\alpha$  induction. The nucleotide sequence disclosed in the figure has substantial sequence identity with a gene encoding the ND6 subunit of human NADH dehydrogenase.

10 Figure 5.

15

Nucleotide sequence (SEQ ID No: 3) of a GSE, CF-101, which is selected for its ability to maintain CD4 expression by OM10.1 cells after TNF- $\alpha$  induction. The nucleotide sequence disclosed in the figure has substantial sequence identity with a gene encoding the ND2 subunit of human NADH dehydrogenase.

Figure 6.

20

Nucleotide sequence (SEQ ID No: 4) of a GSE, CF-117, which is selected for its ability to maintain CD4 expression by OM10.1 cells after TNF- $\alpha$  induction. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding the ND6 subunit of human NADH dehydrogenase.

25 Figure 7.

30

Nucleotide sequence (SEQ ID No: 5) of a GSE, CF-025, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding the ND2 subunit of human NADH dehydrogenase.

Figure 8.

35

Nucleotide sequence (SEQ ID No: 6) of a GSE, CF-128, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure has substantial sequence identity

with a gene encoding the ND4 subunit of human NADH dehydrogenase.

Figure 9.

5

Nucleotide sequence (SEQ ID No:7) of a GSE, CF-004, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure has substantial sequence identity with a gene encoding human 2-oxoglutarate dehydrogenase.

10 Figure 10.

15

Nucleotide sequence (SEQ ID No: 8) of a GSE, CF-113, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure has substantial sequence identity with genes encoding human M2-type pyruvate kinase and cytosolic thyroid hormone binding protein.

Figure 11.

20

Nucleotide sequence (SEQ ID No: 9) of a GSE, CF-204, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding human calnexin.

25 Figure 12.

30

Nucleotide sequence (SEQ ID No: 10) of a GSE, CF-001, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding human ADP-ribosylation factor 3.

Figure 13.

35

Nucleotide sequence (SEQ ID No: 11) of a GSE, CF-273, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure has substantial sequence identity

with a gene encoding human eukaryotic initiation factor 3 (eIf-3).

Figure 14. Nucleotide sequence (SEQ ID No: 12) of a GSE, CF-311, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding a human protein tyrosine phosphatase.

Figure 15. Nucleotide sequence (SEQ ID No: 13) of a GSE, CF-313, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure has substantial sequence identity with a gene encoding a human protein tyrosine phosphatase.

Figure 16. Nucleotide sequence (SEQ ID No: 14) of a GSE, CF-210, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure has substantial sequence identity with a gene encoding a human herpesvirus-associated ubiquitin-specific protease (HAUSP).

Figure 17. Nucleotide sequence (SEQ ID No: 15) of a GSE, CF-266, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding human eukaryotic initiation factor 4B (eIF-4B).

Figure 18. Nucleotide sequence (SEQ ID No: 16) of a GSE, CF-302, which is selected for its ability to

prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding CD44.

5

Figure 19.

Nucleotide sequence (SEQ ID No: 17) of a GSE, CF-317, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is an antisense sequence of a molecule that has substantial sequence identity with a gene encoding phosphatidylinositol 3 kinase.

10

Figure 20.

Nucleotide sequence (SEQ ID No: 18) of a GSE, CF-286, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure has substantial sequence identity with a gene encoding human elongation factor 1 alpha (EF-1 $\alpha$ ).

15

20

Figure 21.

Nucleotide sequence (SEQ ID No: 19) of a GSE, CF-061, which is selected for its ability to maintain CD4 expression by OM10.1 cells after TNF- $\alpha$  induction. The nucleotide sequence disclosed in the figure is not homologous to any known sequences.

25

Figure 22.

Nucleotide sequence (SEQ ID No: 20) of a GSE, CF-280, which is selected for its ability to prevent productive infection of CEM-ss cells by HIV. The nucleotide sequence disclosed in the figure is not homologous to any known sequences.

30

Figure 23.

Percentage of intracellular p24<sup>+</sup> CEM-ss cells containing various GSEs: CF-004 (SEQ ID NO:7), CF-025 (SEQ ID NO:5), CF-113 (SEQ ID NO:8) and CF-204 (SEQ ID NO:9) after infection with HIV-1<sub>SF2</sub> at a TCID<sub>50</sub> of 1000. Controls include

35

mock-infected, vector (LNGFRM)-infected and HIV-infected CEM-ss cells.

Figure 24. Percentage of intracellular p24<sup>+</sup> CEM-ss cells containing CF-001 (SEQ ID NO:10) after infection with HIV-1<sub>SF2</sub> at a TCID<sub>50</sub> of 1000. Controls include mock-infected and vector (LNGFRM)-infected cells.

Figure 25. Percentage of CD4<sup>+</sup> OM10.1 cells after treatment with amytal following TNF- $\alpha$  induction. TNF induction, -▲-; no TNF induction, -■-.

Figure 26. Percentage of CD4<sup>+</sup> OM10.1 cells after treatment with mofarotene following TNF- $\alpha$  induction. TNF induction, -■-; no TNF induction, -◆-.

## 5. DETAILED DESCRIPTION OF THE INVENTION

Section 6, *infra*, illustrates two specific methods which have been used to select and isolate GSEs from a human cell-derived RFE library. These methods include the common steps of: 1) randomly fragmenting cell-derived cDNA into 100-700 base pair (bp) fragments; 2) inserting the fragments into expression vectors to form an expression library; 3) transferring the expression library into a population of cells containing an inducible latent HIV-1 provirus or susceptible to HIV infection; 4) selecting a subpopulation of cells which contain a subset of the expression library enriched for GSEs by monitoring the expression of a cellular or viral marker associated with HIV infection; and 5) recovering the GSEs from the selected cell population. The methods further include repetition of the aforementioned steps so that many rounds of successive selection can be performed. The selection of GSEs can be performed by monitoring the continued expression of a cellular marker such as CD4 or the decreased expression of a viral marker such as p24 or gp120 using an antibody.

The invention is discussed in more detail in the subsections below, solely for purposes of description and not by way of limitation. For clarity of discussion, the

specific procedures and methods described herein are exemplified using OM10.1 cells, CEM-ss cells, tumor necrosis factor-alpha (TNF- $\alpha$ ), an anti-CD4 antibody, and an anti-p24 antibody, but they are merely illustrative for the practice of the invention. Analogous procedures and techniques are equally applicable to isolating GSEs from other cellular DNA, utilizing any cell line and any marker associated with HIV infection that can be easily assayed.

10        5.1. PREPARATION AND TRANSFECTION OF  
          A MAMMALIAN CELL-DERIVED RFE LIBRARY

          A cell-derived RFE library can be constructed from nucleic acid molecules of any mammalian cells, preferably from cDNA of HIV-susceptible cells. In that regard, Section 6, *infra*, demonstrates that GSEs can be selected from HL-60 cells that are naturally susceptible to HIV infection and from HeLa cells which are not naturally susceptible to HIV infection due to the lack of CD4 expression. However, it has been shown that expression of CD4 on the surface of HeLa cells by means of a retroviral vector renders the cells susceptible to HIV infection. Therefore, cell types not normally susceptible to HIV infection may still be useful as a source of genetic material for the construction of RFE library. It is also preferred that a normalized cDNA library is prepared (Gudkov and Roninson, 1996, Methods in Molecular Biology 69:229-231). DNA is first treated with enzymes to produce randomly cleaved fragments. This can be conveniently performed by DNase I cleavage in the presence of Mn<sup>++</sup>

          (Roninson et al., Patent No. 5,217,889, column 5, lines 5-20). Thereafter, the randomly cleaved DNA are size fractionated by gel electrophoresis. Fragments of between 100 and 700 bp are the preferred lengths for constructing RFE libraries. Single strand breaks of the size-selected fragments are repaired by methods well known in the art.

          The fragments are ligated with 5' and 3' adaptors, which are selected to have non-cohesive restriction sites so that each fragment can be inserted into an expression vector in an



oriented fashion. Further, the 5' adaptor contains a start (ATG) codon to allow the translation of the fragments which contain an open reading frame in the correct phase. The fragments are then inserted into appropriate expression  
5 vectors. Any expression vector that results in efficient expression of the fragments in host cells can be used. In a preferred embodiment viral-based vectors such as the retroviral vectors LNCX (Miller and Rosman, 1989, BioTechniques 7:980) and LNGFRM are exemplified.  
10 Alternatively, adenovirus, adeno-associated virus and Herpes virus vectors may also be used for this purpose.

When viral-based vectors are used, the ligated vectors are first transfected into a packaging cell line to produce viral particles. For retroviral vectors, any amphotropic  
15 packaging line such as PA317 (Miller and Buttimore, 1986, Mol. Cell. Biol. 6:2895-2902; ATCC CRL #9078) may be used to efficiently produce virus. In a preferred embodiment of the invention, the viral vector also contains a selectable gene, such as the *neo<sup>r</sup>* gene or a truncated nerve growth factor  
20 receptor (NGFR) gene, which allows isolation of the cells that contain the vector.

The number of independent clones present in each RFE expression library may vary. In a preferred embodiment, libraries of cell-derived cDNA of about  $10^6$  to  $10^8$  independent  
25 clones may be used.

### 5.2. SELECTION OF GSEs IN HIV-INFECTED CELLS

In a specific embodiment illustrated by way of example in Section 6, *infra*, OM10.1 cells are used to select for  
30 GSEs, and they are maintained in conventional tissue culture as described in Butera (U.S. Patent No. 5,256,534). The purpose of using OM10.1 cells for the selection of GSEs is that they contain a latent HIV-1 provirus which is inducible by  $\text{TNF-}\alpha$ . Other cell lines may be similarly engineered with  
35 an inducible HIV provirus. Examples of cell lines that are infected with latent HIV include, but are not limited to, U1, U33, 8E5, ACH-2, LL58, THP/HIV and UHC4 (Bednarik and Folks,

1992, AIDS 6:3-16). A variety of agents have been shown to be capable of inducing latent HIV-infected cells, and these include TNF- $\alpha$ , TNF- $\beta$ , interleukins-1, -2, -3, -4 and -6, granulocyte-macrophage colony stimulating factors, 5 macrophage-colony stimulating factors, interferon- $\gamma$ , transforming growth factor- $\beta$ , PMA, retinoic acid and vitamin D3 (Poli and Fauci, 1992, AIDS Res. Human Retroviruses 9:191-197). Alternatively, GSEs may be selected on the basis of their ability to directly protect HIV susceptible cells from 10 HIV infection (see Section 5.5, *infra*).

The HIV-infected cells may be transferred with the cell-derived RFE library by any technique well known in the art that is appropriate to the vector system employed. In one embodiment of the invention, the viral vector also contains a 15 selectable marker in addition to a random fragment of cellular DNA. A suitable marker is the *neo<sup>r</sup>* gene, which permits selection by the drug G-418. In a preferred embodiment, the viral vector contains a truncated low affinity nerve growth factor receptor (NGFR) which permits 20 selection of the cells using an anti-NGFR monoclonal antibody. In alternative embodiments, the multiplicity of infection of the virions of the library is adjusted so that pre-selection for cells that are transduced by the vector is not needed.

25 In the case of OM10.1 cells, the transduced cell population is treated with 10 U/ml TNF- $\alpha$  for a period of 24-72 hours and preferably about 24 hours according to the method of Butera. The activation of the latent HIV-1 provirus in OM10.1 can be detected by the suppression of the 30 cell surface CD4. It is believed that viral protein gp120 binds to CD4 in the cytoplasm, which prevents subsequent expression of CD4 on the cell surface. Clones that are resistant to HIV replication continue to express cell surface CD4. Such clones can be selected by cell sorting using any 35 antibody staining technique for CD4 and a fluorescence activated cell sorter (FACS).

The fraction of CD4<sup>+</sup> cells that have been transduced with the RFE library can be compared with cells transduced with an expression library consisting of the vector only. An increased relative difference between the cell-derived RFE library and the control library can be found with each additional round of TNF- $\alpha$  induction. Thus, in the preferred embodiment of the invention there are at least two cycles of induction, selection and recloning before the GSEs are recovered from the cells for further characterization.

10

### 5.3. RECOVERY OF GSEs FROM THE SELECTED CELLS

After selection, specific polynucleotides corresponding to the GSEs can be recovered from cells that continue to express CD4 following induction of the latent HIV provirus by TNF- $\alpha$ . The specific GSEs are recovered from genomic DNA isolated from CD4<sup>+</sup> cells sorted by FACS after TNF- $\alpha$  induction. The GSEs in this population are recovered by PCR amplification using primers designed from the sequences of the vector.

The recovered GSEs can be introduced into an expression vector as discussed in Section 5.1, *supra*. The resultant GSEs expression library is known as a secondary library. The secondary library may utilize the same or a different vector from that used for the construction of the primary library. The secondary library may be transduced into another cell population and the resultant population selected, recloned and processed as described herein.

Additionally, each individually recovered GSE can be inserted into cloning vectors for determining its specific nucleotide sequence and its orientation. The sequence of the GSE is then compared with sequences of known genes to determine the portion of the cellular gene with which it corresponds. Alternatively, the PCR products may be directly sequenced to determine their nucleotide sequences. Concurrently, the isolated GSEs can be analyzed to determine their minimal core sequences. A core sequence is a common sequence found by comparison of GSEs with overlapping

sequences. The GSEs are further tested for their ability to protect previously uninfected cells from HIV infection.

In addition to the specific nucleotide sequences depicted in Figure 2 (SEQ ID NO:1) and Figures 4-22 (SEQ ID NOS:2-20), nucleotide sequences capable of hybridizing to these sequences or their complements under highly or moderately stringent hybridization conditions are within the scope of the invention. Also included are GSEs with conservative nucleotide substitutions which produce the same polypeptide products. Highly stringent hybridization conditions may be defined as hybridization to filter-bound DNA in 0.5 M NaHPO<sub>4</sub>, 7% sodium dodecyl sulfate (SDS), 1mM EDTA at 65°C, followed by washing in 0.1 x ssC/0.1% SDS at 68°C (Ausubel F.M. et al., eds, 1989, Current Protocols in Molecular Biology, Vol. I, Green Publishing Associates, Inc., and John Wiley & Sons, Inc., New York at p. 2.10.3). Moderately stringent conditions may be defined as hybridizations carried out as described above, followed by washing in 0.2x ssC/0.1% SDS at 42°C (Ausubel et al., 1989, *supra*).

#### 5.4. DETERMINATION OF CORE SEQUENCES OF CELL-DERIVED GSEs

The present invention also includes methods for determining the core sequence of each GSE. This may be done by comparing overlapping sequences of independently derived GSEs. Alternatively, GSEs may be altered by additions, substitutions or deletions and assayed for retention of HIV-suppressive function. Alterations in the GSEs sequences may be generated using a variety of chemical and enzymatic methods which are well known to those skilled in the art. For example, oligonucleotide-directed mutagenesis may be employed to alter the GSE sequence in a defined way and/or to introduce restriction sites in specific regions within the sequence. Additionally, deletion mutants may be generated using DNA nucleases such as Bal 31 or Exo III and S1 nuclease. Progressively larger deletions in the GSE

sequences may be generated by incubating the DNA with nucleases for increased periods of time (See Ausubel, *et al.*, 1989, Current Protocols for Molecular Biology, for a review of mutagenesis techniques).

- 5       The altered sequences may be evaluated for their ability to suppress expression of HIV proteins such as p24 in appropriate host cells. It is within the scope of the present invention that any altered or shortened GSE polynucleotides that retain their ability to suppress HIV  
10 infection may be incorporated into recombinant expression vectors for further use.

#### 5.5. PROTECTION OF UNINFECTED CELLS BY GSEs AGAINST HIV INFECTION

- 15       In order to confirm that the selected GSEs can protect uninfected cells from HIV infection, the GSEs may be transferred into HIV susceptible host cells, followed by HIV infection. In this connection, GSEs also may be directly selected from a RFE library for their ability to prevent  
20 productive infection by HIV, as shown in a specific embodiment exemplified in Section 6, *infra*. Protection experiments can be performed in any cell type that takes up the potential GSEs and which is otherwise susceptible to HIV infection. In a preferred embodiment by way of example, the  
25 CEM-ss cell line is used (Foley *et al.*, 1965, Cancer 18:522-29). The use of CEM-ss cells as targets for quantitative infectivity of HIV-1 has been described by Nara & Fischinger (1988, Nature 322:469-70). Other cell lines that are susceptible to HIV infection include, but are not limited to,  
30 HUT-78, H9, Jurkat E6-1, A3.01, U-937, AA-2, HeLa CD4<sup>+</sup> and C8166. In addition, freshly isolated peripheral blood leukocytes may be used.

- 35       The test of the potential GSEs can be performed using the same expression vector system as that employed in the RFE library transduction of cells during initial selection steps. In other embodiments, the vector system can be modified to achieve higher levels of expression, e.g., the linkers can be

employed to introduce a leader sequence that increases the translational efficiency of the message. One such sequence is disclosed by Kozak, 1994, Biochemie 76:815-821.

Another way of testing the effectiveness of a potential  
5 GSE against HIV is to determine how rapidly HIV-1 variants develop that can negate the effects of that element. Such a test includes infection of a culture of susceptible cells such as CEM-ss cells at a low multiplicity of infection and repeatedly assaying the culture to determine whether and how  
10 quickly HIV-1 infection becomes widespread. The range of useful multiplicities of infection is between about 100 to 1000 tissue culture infectious units (TCID<sub>50</sub>) per 10<sup>6</sup> CEM-ss cells. The TCID<sub>50</sub> is determined by an endpoint method and is important for determining the input multiplicity of infection  
15 (moi).

A parameter that correlates with the development in the test culture of HIV-1 strains that are resistant to the effects of the potential GSEs is the fraction of cells that are infected in the culture. This fraction can be determined  
20 by immunofluorescent staining with an antibody specific for the HIV-1 p24 antigen of fixed permeabilized cells. Commercially available reagents are suitable for performing such tests (Lee et al., 1994, J. Virol. 68:8254-8264).

In Section 6.2, *infra*, several GSEs were tested for  
25 their ability to protect CEM-ss cells from infection with HIV-1 strain SF2. Uninfected cells were transduced with a retroviral construct containing either vector DNA or a GSE polynucleotide. Non-transduced cells were eliminated by selection of NGFR<sup>+</sup> cells by an antibody or by exposure to the  
30 selection agent, G-418. The percentage of p24<sup>+</sup> cells was determined at specific time points post infection. The results demonstrate that the GSEs tested are able to protect against productive HIV-1 infection in susceptible host cells.

The nucleotide sequences of the selected GSEs with HIV-  
35 inhibitory activities may be compared with known nucleotide sequences in the GenBank. The GSEs described in Section 6, *infra*, have substantial sequence identity, i.e., 90%-100%,

with cDNAs of known cellular genes. Thus, these GSEs correspond to fragments of the cellular genes. Experiments are performed to further determine whether agents that down-regulate such genes and inhibitors that antagonize the activities of their gene products could also be used to inhibit HIV infection. In Section 7.2, *infra*, inhibitors of NADH dehydrogenase are shown to directly inhibit HIV infection in human cells. This finding demonstrates that human host cell-derived polynucleotides possess anti-HIV activities, and in addition, their sequence identity with certain cellular genes may be used to identify cellular genes and gene products as targets for the development of additional HIV therapeutics.

#### 5.6. USES OF GSEs

Another aspect of the present invention is the use of the isolated GSEs against HIV infection prophylactically and therapeutically. A functionally active fragment of a GSE and a GSE containing conservative nucleotide substitutions as functional equivalents of a GSE are also within the scope of the present invention. In that connection, GSEs or functional equivalents thereof operably linked to a regulatory sequence that controls their expression may be transferred into any HIV-susceptible host cells such as CD4<sup>+</sup> T cells or hematopoietic progenitor cells such as CD34<sup>+</sup> cells obtained from bone marrow or mobilized peripheral blood, by any DNA transfer techniques well known in the art such as electroporation, transfection or transduction, followed by transplantation of the cells into a recipient. When the GSE-containing progenitor cells differentiate *in vivo*, the progeny cells express the GSEs and become resistant to HIV.

Alternatively, GSEs may be directly administered *in vivo* using a gene therapy expression vector. In particular, GSEs can be delivered or transferred into CD4<sup>+</sup> T cells in both HIV-infected or uninfected individuals to protect against development of HIV infection. GSEs can also be transferred into stromal cells, including macrophages.

Expression vectors derived from viruses such as retroviruses, adenovirus, adeno-associated virus, herpes viruses, or papilloma viruses may be used for delivery of recombinant GSEs into the targeted cell population. Methods 5 which are well known to those skilled in the art can be used to construct recombinant viral vectors containing a GSE polynucleotide operably linked to a promoter that facilitates its expression (Sambrook et al., 1989, Molecular Cloning A Laboratory Manual, Cold Spring Harbor Laboratory, N.Y. and 10 Ausubel et al., 1989, Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, N.Y.). In a specific embodiment by way of example, the GSE polynucleotides were inserted into a retroviral vector. In cases where an adenovirus is used as an expression vector, a 15 GSE may be ligated to an adenovirus transcription-translation control complex, e.g., the late promoter and tripartite leader sequence. This chimeric gene may then be inserted in the adenovirus genome by *in vitro* or *in vivo* recombination. Insertion in a non-essential region of the viral genome 20 (e.g., region E1 or E3) will result in a recombinant virus that is viable and capable of expressing GSEs in infected hosts (Logan & Shenk, 1984, Proc. Natl. Acad. Sci. USA 81:3655-3659).

Alternatively, a recombinant GSE polynucleotide can be 25 delivered into a target cell by a non-viral delivery system. For example, a GSE may be reconstituted into liposomes for delivery to target cells. Liposomes are spherical lipid bilayers with aqueous interiors. All molecules that are present in an aqueous solution at the time of liposome 30 formation (in this case, oligonucleotides) are incorporated into this aqueous interior. The liposomal contents are both protected from the external microenvironment and, because liposomes fuse with cell membranes, are efficiently delivered into the cell cytoplasm, obviating the need to neutralize the 35 oligonucleotides' negative charge.

In cases where the GSEs are expressed as polypeptides, specific initiation signals may also be required for



efficient translation of inserted GSE sequences. Exogenous translational control signals, including the ATG initiation codon, must be provided. Furthermore, the initiation codon must be in phase with the reading frame of the GSE sequence  
5 to ensure translation of the entire insert. These exogenous translational control signals and initiation codons can be of a variety of origins, both natural and synthetic.

The efficiency of expression of GSEs in cells into which they are introduced also may be modulated by the inclusion in  
10 the delivery vector of a variety of regulatory elements. These include, but are not limited to, appropriate transcription enhancer elements, transcription terminators, etc. (see Bittner et al., 1987, Methods in Enzymol. 153:516-544).

15 The isolated GSEs suppress HIV activity by either encoding polypeptide or RNA products. The present invention encompasses any such polypeptide product, including fusion proteins, leader peptides and localization signals. In addition, anti-sense RNA, DNA molecules and ribozymes that  
20 function to inhibit HIV infection are also within the scope of the invention.

Methods for introducing GSE polynucleotides into cells or tissues include the insertion of naked polynucleotide, i.e., by injection into tissue, the introduction of a GSE in  
25 a cell ex vivo, i.e., for use in autologous cell therapy, the use of a vector such as a virus, retrovirus, phage or plasmid, etc. or techniques such as electroporation which may be used in vivo or ex vivo.

The GSEs may be formulated and administered through a  
30 variety of means, including systemic, localized, or topical administration. Techniques for formulation and administration may be found in "Remington's Pharmaceutical Sciences," Mack Publishing Co., Easton, PA, latest edition. The mode of administration may be selected to maximize  
35 delivery to a desired target site in the body.

For systemic administration, route of injection includes, intramuscular, intravenous, intraperitoneal, and

subcutaneous. The polynucleotides of the invention are formulated in aqueous solutions, preferably in physiologically compatible buffers such as Hanks's solution, Ringer's solution, or physiological saline buffer. In addition, the polynucleotides may be formulated in solid or lyophilized form, then redissolved or suspended immediately prior to use.

#### 5.7. INHIBITORS OF CELLULAR GENES AND GENE PRODUCTS SUPPRESS HIV INFECTION

10

A number of GSEs selected from human cell-derived RFE library have been shown to have derived from specific portions of known cellular genes. For example, several independently selected GSEs have substantial sequence identity (90-100%) with cDNAs of genes encoding certain subunits of NADH dehydrogenase. The lack of complete identity between the GSEs and the cellular gene sequences may have resulted from genetic polymorphism between different individuals or mutations introduced during cloning or PCR amplification. Section 7, *infra*, demonstrates that an inhibitor of NADH dehydrogenase gene expression inhibits HIV infection in primary human T cell cultures. Therefore, the present invention also encompasses inhibitors of cellular genes and gene products which have been identified as cellular targets during HIV infection.

25

Once a cellular gene has been identified as a potentially important target for supporting the HIV life cycle, assay systems may be established using such gene for the screening and selection of additional compounds as anti-HIV therapeutics based on their ability to down-regulate the expression of the gene or inhibit the activities of its gene product. For example, a cell line which naturally expresses the gene of interest or has been transfected with it may be incubated with various compounds. A reduction of the expression of the gene of interest or an inhibition of the activities of its encoded product may be used as a read-out. The compounds are retested in other assays such as in OM10.1

35

cells or in productive HIV infection to confirm their activities against HIV infection. These compounds may be screened from known organic compounds, products of peptide libraries and products of chemical combinatorial libraries.

5 In the case of NADH dehydrogenase, a large number of small molecule inhibitors are available in the art. Such inhibitors may be used in the methods of the present invention. An *in vitro* assay may be established to screen for additional NADH dehydrogenase complex I inhibitors by  
10 measuring membrane potential of live cells with DiOC<sub>6</sub>, a dye which accumulates in the mitochondrial and cytoplasmic membrane depending on mitochondrial functional activities and ATP concentrations (Methods in Enzymology, 1995, 260:448). Compounds are selected for their ability to decrease membrane  
15 potential by methods well known in the art.

The subsections below describe a number of cellular genes which have been identified herein as important targets for the development of HIV therapeutics. Inhibitors of these genes and their gene products may be used to inhibit HIV  
20 infection.

#### 5.7.1. NADH DEHYDROGENASE

In aerobic organisms, adenosine triphosphate (ATP) provides the major source of energy. For the generation of  
25 ATP, energy rich molecules such as NADH and FADH<sub>2</sub> are first formed in glycolysis, fatty acid oxidation and the citric acid cycle. When these molecules donate their electrons to molecular oxygen, free energy is released to generate ATP.

Oxidative phosphorylation is the process by which ATP is  
30 formed as electrons are transferred from NADH or FADH<sub>2</sub> to O<sub>2</sub> by a series of electron carriers (Stryer, 1988, Biochemistry, Freeman). This process occurs in the mitochondria of eukaryotic cells. More specifically, the enzymes that catalyze the electron transport chain reside in the inner  
35 membrane of mitochondria, and they are encoded by both nuclear and mitochondrial DNA. These enzymes exist as large protein complexes, and the first complex of the chain is

known as NADH dehydrogenase or NADH-Q reductase. It has a molecular weight of 850,000 daltons and consists of over 40 polypeptide subunits, seven of which are encoded by the mitochondrial genome. (Anderson et al., 1981, Nature 5 290:457; Chomyn et al., 1985, Nature 314:592; Chomyn et al., 1986, Science 234:619) The nucleotide sequences of the cDNAs of nuclear genes for the subunits have been described (Walker et al., 1992, J. Mol. Biol. 226:1051; Fearnley et al., 1989, EMBO J. 8:665; Pilkington et al., 1989, Biochem 28:3257)

10 NADH dehydrogenase catalyzes the transfer of electrons from NADH to an electron carrier termed ubiquinone.

Since NADH dehydrogenase inhibitors suppress HIV infection, other therapeutically suitable agents that inhibit the expression and/or function of NADH dehydrogenase are also

15 encompassed within the scope of the present invention. These inhibitors include small molecules as well as antisense and ribozyme molecules that interfere with NADH dehydrogenase subunit gene expression. Examples of other inhibitors include, but not limited to, Amytal, Annonin VI, Aurachin A,

20 Aurachin B, Aureothin, Benzimidazole, Bullactin, Capsaicin, Ethoxyformic anhydride, Ethoxyquin, Fenpyroximate, Mofarotene (Ro 40-8757; arotinoids), Molvizarin, Myxalamide PI, Otivarin (annonaceous acetogenins), Pethidine, Phenalamid A<sub>2</sub>, Phenoxan, Piericidin A, p-chloromercuribenzoate, Ranolazine (RS-43285),

25 Rolliniasatin-1, Rolliniasatin-2, Rotenone, Squamocin, and Thiangazole (Singer and Ramsay, 1992, Mol. Mechan. in Bioenergetics Chap. 6, p.153; Degli Espasti et al., 1994, Biochem. J. 301:161; Friedrich et al., 1994, Eur. J. Biochem. 219:691; Uchida et al., 1994, Int. J. Cancer 58:891; Wyatt et

30 al., 1995, Biochem. Pharmacol. 50:1599; Shimomura et al., 1989, Arch. Biochem Biophys. 270:573)

#### 5.7.2. 2-OXOGLUTARATE DEHYDROGENASE

The 2-oxoglutarate dehydrogenase complex catalyzes

35 oxidative decarboxylation of 2-oxoglutarate to succinyl-CoA and CO<sub>2</sub>, and is the rate-limiting enzyme which controls the flux of substrates through the Krebs cycle (Delvin, T.M., ed,

1992, Textbook of Biochemistry, Wiley-Liss, Inc.). This enzyme complex is located in the inner membrane/matrix compartment of the mitochondria. The complex consists of multiple copies of 2-oxoglutarate dehydrogenase (lipoamide) 5 [OGDH or ELO; 2-oxoglutarate: lipoamide 2-oxidoreductase (decarboxylating and acceptor succinylating), EC 1.2.4.2], dihydrolipoamide succinyltransferase (designated E20; EC 2.3.1.61) and dihydrolipoamide dehydrogenase (E3; EC 1.8.1.4). The coding sequence of 2-oxoglutarate 10 dehydrogenase has been described (GenBank Accession Nos. D10523 and D90499; Koike et al., 1992, Proc. Natl. Acad. Sci. U.S.A. 89:1963-1967; Koike, 1995, Gene 159:261-266). A number of inhibitors for 2-oxoglutarate dehydrogenase have been described (Majamaa et al., 1985, Biochem. J. 229:127- 15 133).

#### 5.7.3. PYRUVATE KINASE

Pyruvate kinase/thyroid hormone binding protein p58 (TBP) is a monomer of pyruvate kinase (ATP pyruvate O<sup>2</sup>- 20 phosphotransferase, EC 2.7.1.40) subtype M2. Its conversion to the tetrameric pyruvate kinase is regulated by fructose 1,6,-bisphosphate (Fru-1,6-P<sub>2</sub>). At low glucose concentrations mammalian cells contain low Fru-1,6,-P<sub>2</sub> and pyruvate kinase is inactive. At high glucose concentration (regular medium 25 contains 5-10 mM glucose), high levels of Fru-1,6-P<sub>2</sub> are found in proliferating and tumor cells, which require high pyruvate kinase activity for growth. It has been demonstrated that low Fru-1, 6-P<sub>2</sub> favors formation of p58 and high concentration convert it to the tetrameric enzyme. An 30 increase in glucose concentration could lead to multimerization of p58 which in turn activates pyruvate kinase and glycolysis. At the same time thyroid hormone is released from the complex with TBP and might bind to nuclear and mitochondrial receptors and activate oxidative 35 phosphorylation. The coding sequence of pyruvate kinase/thyroid hormone binding protein has been described

(GenBank Accession No. M26252; Kato et al., 1989, Proc. Natl. Acad. Sci. U.S.A. 86:7861-7865).

#### 5.7.4. CALNEXIN

5 Calnexin is a type I membrane protein which functions as a molecular chaperon for secretory glycoproteins in the endoplasmic reticulum (ER) with ATP and Ca<sup>++</sup> as two cofactors involved in the substrate binding (Ou et al., 1995, J. Biol. Chem. 270:18051). It has been demonstrated that  
10 folding of gp120 is mediated by calnexin during the translocation of the newly synthesized gp120 into ER (Li et al., 1996, Proc. Natl. Acad. Sci. U.S.A. 93:9606). The coding sequence of calnexin has been described (GenBank Accession No. L10284; David et al., 1993, J. Biol. Chem.  
15 268:9585-9592).

#### 5.7.5. ADP-RIBOSYLATION FACTOR 3

ADP-ribosylation factors (ARFs) are guanine nucleotide binding proteins of about 20kDa molecular weight  
20 that stimulate ADP-ribosyltransferase activity of cholera toxin in vitro (Tsai et al., 1991, J. Biol. Chem. 266:23053-23059). Five different ARFs have been cloned from human cDNA. ARF3 is represented by two mRNAs of 3.7 and 1.2 kb that are generated through the use of alternative  
25 polyadenylation signals (Tsai et al., 1991, *supra*).

#### 5.7.6. UBIQUITIN-SPECIFIC PROTEASE

Ubiquitin-specific protease (USP) plays an important role in several cellular processes, including the  
30 regulation of gene expression, control of the cell cycle, DNA repair and differentiation (Hochtrasser, 1995, Curr. Opin. Cell. Biol. 7:215-223; Wilkinson, 1995, Ann. Rev. Nutr. 15:161-189). One of the best characterized ubiquitin-dependent pathways involved in the control of gene expression  
35 is activation of NF- $\kappa$ B. Cleavage of p105, a precursor of the p50 subunit of NF- $\kappa$ B requires ubiquitin conjugation (Palombella et al., 1994, Cell 78:773-785) and secondly the

destruction of I $\kappa$ B (a process which allows NF- $\kappa$ B to migrate to the nucleus in an active form) requires ubiquitination of the inhibitor in a phosphorylation-dependent manner (Scherer et al., 1995, Proc. Natl. Acad. Sci. U.S.A. 92:11259-11263).

5 USP is characterized by the presence of two conserved active site domains and has been shown to cleave ubiquitin from model substrates (Everett et al., 1997, EMBO J. 16: 556-577). There are two classes of USP. The first includes proteins involved in the generation of free  
10 ubiquitin from precursor fusion proteins or from peptide-linked polyubiquitin after proteolysis of the substrate by the proteasome (Hochstrasser, 1995). The second comprises an increasing number of de-ubiquitinating proteins which may recognize and stabilize specific substrates by removing  
15 ubiquitin adducts. Examples of this class include the *Drosophila* fat facets protein, whose de-ubiquitination is required for proper eye development (Huang et al., 1995, Science 270:1828-1831). Another example relates to DUB-1 gene which is an immediate early gene that regulates cell  
20 growth (Zhu et al., 1996, Proc. Natl. Acad. Sci. U.S.A. 93:3275-3279).

The coding sequence for herpesvirus-associated ubiquitin-specific protease has been described (GenBank accession No. Z72499; Everett et al., 1997, EMBO J. 16:566-  
25 577).

#### 5.7.7. CD44

CD44 is a broadly distributed surface receptor glycoprotein implicated in multiple physiologic cellular  
30 functions, such as extracellular matrix-cell adhesion, lymphocyte homing, lympho-hematopoiesis, T cell activation, and tumor metastasis (Shimuzu et al., 1989, J. Immunol. 143:2457-2463; Huet et al., 1989, J. Immunol. 143:798-801). Some of these functions depend on the ability of CD44 to  
35 recognize the extracellular matrix component hyaluronic acid. CD44 is expressed as several different isoforms, varying between 85 to 200 kDa, depending on differential usage of 10

exons encoding a portion of the extracellular domain and cell type specific glycosylation. Each isoform may display some degree of functional uniqueness (Stamenkovich et al., 1989, Cell 56:1057-1062). The most widely expressed molecule is 5 the 85-90 kDa glycoprotein, commonly referred to as CD44H, which has been demonstrated to be the major receptor for hyaluronic acid (Bartolazzi et al., 1996, J. Cell Biol. 132:1199-1208). CD44H represents the principle isoform found on hematopoietic cells. It has been shown that CD44, along 10 with other HIV receptors like CD4, can play a role in viral tropism and affects infectivity of the virus. HIV causes CD44 downmodulation in monocytes, but on a post-translational level (Guo and Hildreth, 1993, J. Immunol. 151:2225-2236).

The coding sequence for human CD44 has been 15 described (GenBank accession No. X55150; Stamenkovich et al., 1991, EMBO J. 10:243-248).

#### 5.7.8. TYROSINE PHOSPHATASE

Phosphorylation of serine, threonine and tyrosine 20 residues is one of the significant regulatory mechanisms in gene expression and post-translational modifications. Tyrosine phosphorylation is important in the control of normal cellular processes such as cell proliferation and differentiation, as well as pathological events such as 25 malignant transformation (Cantley et al., 1991, Cell 64:281-301). The overall levels of tyrosine phosphorylation are modulated by the complementary and antagonistic actions of protein tyrosine kinases and protein tyrosine phosphatases. In the steady state, less than 1% of the total cellular 30 phosphorylation is due to tyrosine phosphorylation. However, the phosphotyrosine content can increase dramatically upon cellular transformation (Walton and Dixon, 1993, Ann. Rev. Biochem. 62:101-120). There are about 40 known phosphatases (Zolnierowicz and Hemmings, 1994, Trends Cell Biol. 4:61-64).

35 Several viruses are known to contain protein tyrosine kinases and phosphatases. A putative protein tyrosine phosphatase is found in the HIV-1 5'LTR (Nandi and



Banerjee, 1995, Med. Hypothesis 45:476-480). Phosphorylation plays an important role in tat-mediated transactivation (Ensoli et al., 1990, Nature 345:84-86), nef protein function (Balliet et al., 1994, Virology 200:623-631; Venkatesh et al., 1990, Virology 176:39-47), and viral matrix assembly (Camaur et al., 1997, J. Virol. 71:6834-6841).

Inhibitors of protein tyrosine phosphatase include ortho-vanadate, pervanadate, sodium vanadate, and phenylarsine oxide. Inhibitors of protein tyrosine phosphatase have been shown to inhibit apoptosis in eosinophils, neutrophils, and hematopoietic cells (Yousefi et al., 1994, Proc. Natl. Acad. Sci. U.S.A. 91:10868-10872; Lund-Johansen et al., 1996, Cytometry 25:182-190).

The coding sequence of protein tyrosine phosphatases from Brain Derived Phosphatase (BDP1)mRNA and CL 100 mRNA have been described (GenBank accession No. X79568; Kim et al., 1996, Oncogene 13:2275-2279 and GenBank accession No. X68277; Keyse and Emslie, 1992, Nature 359:644-647).

#### 20                    5.7.9.    PHOSPHATIDYLINOSITOL KINASE

Phosphatidylinositol 3-kinases (PI3K) have been characterized as enzymes involved in receptor signal transduction. Multiple forms with different substrate specificities exist. They have been associated with a diverse range of cell surface receptors including those for growth factors, thrombin, chemotactic peptides and cytokines. It has been proposed that PI3Ks act as second messengers possibly via the activation of certain protein kinase C isotypes (Liscovitch and Cantley, 1994, Cell 77:329-334; Toker et al., 1994, J. Biol. Chem. 269:323598-32367). PI3K may also play a role in the regulation of protein trafficking from the Golgi to the lysosome (Volinia et al., 1995, EMBO J. 14:3339-3348).

HIV-1 Nef expression severely impairs PI3K association with a receptor suggesting that Nef selectively affects the PI3K signaling pathway resulting in adverse effects on host cell function (Graziani et al., 1996, J.

Biol. Chem. 271:6590-6593). Nef expression is also accompanied by a decrease in basal intracellular PIK, suggesting a role for PI3K in HIV replication (Garcia, 1997, C.R. Acad. Sci. III 320:505-508). PI3K can be activated by 5 gp160 and also has been implicated in Tat-mediated apoptosis (Mazerolles et al., 1997, Eur. J. Immunol. 27:2457-2465; Borgatti et. al., 1997, Eur. J. Immunol. 27:2805-2811). Known inhibitors of PI3K include wortmannin and theophylline.

The coding sequence of phosphatidylinositol 3-10 kinase has been described (GenBank accession No. Z46973; Volinia et al., 1995, EMBO J. 14:3339-3348).

#### 5.7.10. ELONGATION FACTOR

Elongation of the polypeptide chain occurs 15 following initiation of translation. Elongation factors utilized the energy released by GTP hydrolysis to ensure selection of the proper aminoacyl-tRNA and to move the message and associated tRNAs through the decoding region of the ribosome (Devlin, ed, 1992, Textbook of Biochemistry, 20 Wiley-Liss, Inc.). EF-1- $\alpha$  is an evolutionarily conserved universal cofactor of protein synthesis in all living cells. It carries aminoacyl-tRNAs to the A-site of the ribosome in GTP-dependent manner.

The expression levels of EF-1  $\alpha$  are regulated in 25 various stages of cell life such as growth arrest, transformation and aging. Levels of EF-1 $\alpha$  may be a key regulator in modulating the rate of apoptosis. Reduction of EF-1- $\alpha$  expression decelerates apoptosis while overexpression accelerates the process (Duttaroy et al., 1998, Exp. Cell 30 Res. 238:168-176).

Inhibitors include quercetin (3,3',4',5,7-pentahydroxyflavone) and didemnin B.

The coding sequence for human elongation factor 1-alpha has been described (GenBank accession No. J04617; 35 Uetsuki et al., 1989, J. Biol. Chem. 264:5791-5798).

### 5.7.11. EUKARYOTIC TRANSLATION INITIATION FACTORS

Initiation of translation occurs by the binding of the 40S ribosomal subunit at or near the cap structure of mRNA followed by ribosome scanning of the 5' untranslated region until an initiator AUG is encountered. This process is promoted by a complex group of proteins known as initiation factors. These factors participate only in initiation of translation (Devlin, ed, 1992, Textbook of Biochemistry, Wiley-Liss, Inc.).

10 Eukaryotic initiation factor 3 (eIF3) is the largest multisubunit complex involved in initiation of protein synthesis. It has a mass of 600 kDa and 10 subunits. The factor prevents association of ribosomal subunits, stabilizes methionyl-tRNA binding to the 40S subunits and  
15 promotes mRNA binding (Merrick and Hershey, 1996 in Translational Control, Hershey, Mathews and Sonenberg eds, pp31-69, Cold Spring Harbor, Cold Spring Harbor, NY). The sequence for human eukaryotic initiation factor 3 has been described (GenBank accession No. U78525).

20 Eukaryotic initiation factor 4B (eIF4B) is an 80 kDa polypeptide that is essential for the binding of mRNA to ribosomes. eIF4B has RNA binding activity, stimulates ATPase and RNA helicase (Mehot et al., 1996 RNA 2:38-50; Abramson et al., 1987 J. Biol. Chem. 262:3826-3832; Ray et al., 1985, J.  
25 Biol. Chem. 260:7651-7658; Rozen et al., Mol. Cell. Biol. 10:1134-1144). Additionally, eIF4B has been reported to have RNA annealing activity, promoting base pairing between complementary sequences in RNA strands (Altmann et al., 1995, EMBO J. 14:3820-3827). It also interacts with eIF4A and eIF3  
30 (Mehot et al., 1996, Mol. Cell. Biol. 16:5328-5334). Overproduction of eIF4B results in a general inhibition of translation (Milburn et al., 1990, EMBO J. 9:2783-2790).

The sequence for human eukaryotic initiation factor 4B has been described (GenBank accession No. X55733; Milburn  
35 et al., 1990, EMBO J. 9:2783-2790).

5.7.12. METHODS TO DOWN-REGULATE  
CELLULAR GENE EXPRESSION

In addition to small molecule inhibitors, any methods known in the art which down-regulate the expression and/or function of the cellular genes described in Sections 5.7.1-5.7.11, *supra* are also encompassed by the invention. For example, anti-sense RNA and DNA molecules may be used to directly block the translation of mRNA encoded by these cellular genes by binding to targeted mRNA and preventing protein translation. Polydeoxyribonucleotides can form sequence-specific triple helices by hydrogen bonding to specific complementary sequences in duplexed DNA. Formation of specific triple helices may selectively inhibit the replication and/or gene expression of targeted genes by prohibiting the specific binding of functional trans-acting factors.

Ribozymes are enzymatic RNA molecules capable of catalyzing the specific cleavage of RNA. The mechanism of ribozyme action involves sequence specific hybridization of the ribozyme molecule to complementary target RNA, followed by endonucleolytic cleavage. Within the scope of the invention are engineered hammerhead motif ribozyme molecules that specifically and efficiently catalyze endonucleolytic cleavage of cellular RNA sequences. Antisense RNA showing high affinity binding to target sequences can also be used as ribozymes by addition of enzymatically active sequences known to those skilled in the art.

Polynucleotides to be used in triplex helix formation should be single stranded and composed of deoxynucleotides. The base composition of these polynucleotides must be designed to promote triple helix formation via Hoogsteen base pairing rules, which generally require sizeable stretches of either purines or pyrimidines to be present on one strand of a duplex. Polynucleotide sequences may be pyrimidine-based, which will result in TAT and CGC triplets across the three associated strands of the resulting triple helix. The pyrimidine-rich polynucleotides provide base complementarity

to a purine-rich region of a single strand of the duplex in a parallel orientation to that strand. In addition, polynucleotides may be chosen that are purine-rich, for example, containing a stretch of G residues. These

5 polynucleotides will form a triple helix with a DNA duplex that is rich in GC pairs, in which the majority of the purine residues are located on a single strand of the targeted duplex, resulting in GGC triplets across the three strands in the triplex.

10 Alternatively, the potential sequences that can be targeted for triple helix formation may be increased by creating a so called "switchback" polynucleotide. Switchback polynucleotides are synthesized in an alternating 5'-3', 3'-5' manner, such that they base pair with first one strand of  
15 a duplex and then the other, eliminating the necessity for a sizeable stretch of either purines or pyrimidines to be present on one strand of a duplex.

Both anti-sense RNA and DNA molecules, and ribozymes of the invention may be prepared by any method known in the art.  
20 These include techniques for chemically synthesizing polydeoxyribonucleotides well known in the art such as solid phase phosphoramidite chemical synthesis. Alternatively, RNA molecules may be generated by *in vitro* and *in vivo* transcription of DNA sequences encoding the antisense RNA  
25 molecule. Such DNA sequences may be incorporated into a wide variety of vectors which incorporate suitable RNA polymerase promoters such as the T7 or SP6 polymerase promoters. Alternatively, antisense cDNA constructs that synthesize antisense RNA constitutively or inducibly, depending on the  
30 promoter used, can be introduced stably into host cells.

Various modifications to the nucleic acid molecules may be introduced as a means of increasing intracellular stability and half-life. Possible modifications include, but are not limited to, the addition of flanking sequences of  
35 ribonucleotides or deoxyribonucleotides to the 5' and/or 3' ends of the molecule or the use of phosphorothioate or 2' O-

methyl rather than phosphodiesterase linkages within the oligodeoxyribonucleotide backbone.

#### 5.8. PHARMACEUTICAL FORMULATIONS AND ROUTES OF ADMINISTRATION OF INHIBITORS

5 Inhibitory compounds of the expression of genes encoding NADH dehydrogenase, 2-oxoglutarate dehydrogenase, pyruvate kinase, calnexin, ADP-ribosylation factor 3, ubiquitin-specific protease, CD44, tyrosine phosphatase, phosphatidyl  
10 inositol kinase, elongation factor and eukaryotic translation initiation factors, as well as inhibitors of their encoded products, can be administered to a human patient in need of such treatment, by themselves, or in pharmaceutical compositions where an inhibitor is mixed with suitable  
15 carriers or excipient(s). A therapeutically effective dose refers to that amount of the compound sufficient to result in an inhibition of HIV infection as compared to the pre-treatment condition. Techniques for formulation and administration of the compounds of the instant application  
20 may be found in "Remington's Pharmaceutical Sciences," Mack Publishing Co., Easton, PA, latest edition.

##### 5.8.1. ROUTES OF ADMINISTRATION

Suitable routes of administration may, for example,  
25 include oral, rectal, transmucosal, transcutaneous, or intestinal administration; parenteral delivery, including intramuscular, subcutaneous, intramedullary injections, as well as intrathecal, direct intraventricular, intravenous, intraperitoneal, intranasal, or intraocular injections.

30 Alternatively, one may administer the compound in a local rather than systemic manner, for example, via injection of the compound directly into a specific tissue, often in a depot or sustained release formulation.

Furthermore, one may administer the compound in a  
35 targeted drug delivery system, for example, in a liposome and/or conjugated with a cell-specific antibody. The

liposomes and cell-specific antibody will be targeted to and taken up selectively by HIV-infected cells.

#### 5.8.2. COMPOSITION/FORMULATION

- 5           The pharmaceutical compositions of the present invention may be manufactured in a manner that is itself known, e.g., by means of conventional mixing, dissolving, granulating, dragee-making, levigating, emulsifying, encapsulating, entrapping or lyophilizing processes.
- 10          Pharmaceutical compositions for use in accordance with the present invention thus may be formulated in conventional manner using one or more physiologically acceptable carriers comprising excipients and auxiliaries which facilitate processing of the active compounds into preparations which
- 15 can be used pharmaceutically. Proper formulation is dependent upon the route of administration chosen.

- For injection, the compounds of the invention may be formulated in appropriate aqueous solutions, such as physiologically compatible buffers such as Hanks's solution,
- 20 Ringer's solution, or physiological saline buffer. For transmucosal and transcutaneous administration, penetrants appropriate to the barrier to be permeated are used in the formulation. Such penetrants are generally known in the art.

- For oral administration, the compounds can be formulated
- 25 readily by combining the active compounds with pharmaceutically acceptable carriers well known in the art. Such carriers enable the compounds of the invention to be formulated as tablets, pills, dragees, capsules, liquids, gels, syrups, slurries, suspensions and the like, for oral
- 30 ingestion by a patient to be treated. Pharmaceutical preparations for oral use can be obtained with solid excipient, optionally grinding a resulting mixture, and processing the mixture of granules, after adding suitable auxiliaries, if desired, to obtain tablets or dragee cores.
- 35 Suitable excipients are, in particular, fillers such as sugars, including lactose, sucrose, mannitol, or sorbitol; cellulose preparations such as, for example, maize starch,

wheat starch, rice starch, potato starch, gelatin, gum tragacanth, methyl cellulose, hydroxypropylmethyl-cellulose, sodium carboxymethylcellulose, and/or polyvinylpyrrolidone (PVP). If desired, disintegrating agents may be added, such as the cross-linked polyvinyl pyrrolidone, agar, or alginic acid or a salt thereof such as sodium alginate.

Dragee cores are provided with suitable coatings. For this purpose, concentrated sugar solutions may be used, which may optionally contain gum arabic, talc, polyvinyl pyrrolidone, carbopol gel, polyethylene glycol, and/or titanium dioxide, lacquer solutions, and suitable organic solvents or solvent mixtures. Dyestuffs or pigments may be added to the tablets or dragee coatings for identification or to characterize different combinations of active compound doses.

Pharmaceutical preparations which can be used orally include push-fit capsules made of gelatin, as well as soft, sealed capsules made of gelatin and a plasticizer, such as glycerol or sorbitol. The push-fit capsules can contain the active ingredients in admixture with filler such as lactose, binders such as starches, and/or lubricants such as talc or magnesium stearate and, optionally, stabilizers. In soft capsules, the active compounds may be dissolved or suspended in suitable liquids, such as fatty oils, liquid paraffin, or liquid polyethylene glycols. In addition, stabilizers may be added. All formulations for oral administration should be in dosages suitable for such administration. For buccal administration, the compositions may take the form of tablets or lozenges formulated in conventional manner.

For administration by inhalation, the compounds for use according to the present invention are conveniently delivered in the form of an aerosol spray presentation from pressurized packs or a nebuliser, with the use of a suitable propellant, e.g., dichlorodifluoromethane, trichlorofluoromethane, dichlorotetrafluoroethane, carbon dioxide or other suitable gas. In the case of a pressurized aerosol the dosage unit may be determined by providing a valve to deliver a metered



amount. Capsules and cartridges of e.g., gelatin for use in an inhaler or insufflator may be formulated containing a powder mix of the compound and a suitable powder base such as lactose or starch.

- 5       The compounds may be formulated for parenteral administration by injection, e.g., by bolus injection or continuous infusion. Formulations for injection may be presented in unit dosage form, e.g., in ampoules or in multi-dose containers, with an added preservative. The
- 10 compositions may take such forms as suspensions, solutions or emulsions in oily or aqueous vehicles, and may contain formulatory agents such as suspending, stabilizing and/or dispersing agents.

- Pharmaceutical formulations for parenteral
- 15 administration include aqueous solutions of the active compounds in water-soluble form. Additionally, suspensions of the active compounds may be prepared as appropriate oily injection suspensions. Suitable lipophilic solvents or vehicles include fatty oils such as sesame oil, or synthetic
- 20 fatty acid esters, such as ethyl oleate or triglycerides, or liposomes. Aqueous injection suspensions may contain substances which increase the viscosity of the suspension, such as sodium carboxymethyl cellulose, sorbitol, or dextran. Optionally, the suspension may also contain suitable
- 25 stabilizers or agents which increase the solubility of the compounds to allow for the preparation of highly concentrated solutions. Alternatively, the active ingredient may be in powder form for constitution with a suitable vehicle, e.g., sterile pyrogen-free water, before use.
- 30       The compounds may also be formulated in rectal compositions such as suppositories or retention enemas, e.g., containing conventional suppository bases such as cocoa butter or other glycerides.

- In addition to the formulations described previously,
- 35 the compounds may also be formulated as a depot preparation. Such long acting formulations may be administered by implantation (for example subcutaneously or intramuscularly)

or by intramuscular injection. Thus, for example, the compounds may be formulated with suitable polymeric or hydrophobic materials (for example as an emulsion in an acceptable oil) or ion exchange resins, or as sparingly soluble derivatives, for example, as a sparingly soluble salt.

A pharmaceutical carrier for the hydrophobic compounds of the invention is a cosolvent system comprising benzyl alcohol, a nonpolar surfactant, a water-miscible organic polymer, and an aqueous phase. The cosolvent system may be the VPD co-solvent system. VPD is a solution of 3% w/v benzyl alcohol, 8% w/v of the nonpolar surfactant polysorbate 80, and 65% w/v polyethylene glycol 300, made up to volume in absolute ethanol. The VPD co-solvent system (VPD:5W) consists of VPD diluted 1:1 with a 5% dextrose in water solution. This co-solvent system dissolves hydrophobic compounds well, and itself produces low toxicity upon systemic administration. Naturally, the proportions of a co-solvent system may be varied considerably without destroying its solubility and toxicity characteristics. Furthermore, the identity of the co-solvent components may be varied: for example, other low-toxicity nonpolar surfactants may be used instead of polysorbate 80; the fraction size of polyethylene glycol may be varied; other biocompatible polymers may replace polyethylene glycol, e.g. polyvinyl pyrrolidone; and other sugars or polysaccharides may substitute for dextrose.

Alternatively, other delivery systems for hydrophobic pharmaceutical compounds may be employed. Liposomes and emulsions are well known examples of delivery vehicles or carriers for hydrophobic drugs. Certain organic solvents such as dimethylsulfoxide also may be employed, although usually at the cost of greater toxicity. Additionally, the compounds may be delivered using a sustained-release system, such as semipermeable matrices of solid hydrophobic polymers containing the therapeutic agent. Various of sustained-release materials have been established and are well known by those skilled in the art. Sustained-release capsules may,

depending on their chemical nature, release the compounds for a few weeks up to over 100 days. Depending on the chemical nature and the biological stability of the therapeutic reagent, additional strategies for protein stabilization may  
5 be employed.

The pharmaceutical compositions also may comprise suitable solid or gel phase carriers or excipients. Examples of such carriers or excipients include but are not limited to calcium carbonate, calcium phosphate, various sugars,  
10 starches, cellulose derivatives, gelatin, and polymers such as polyethylene glycols.

The compounds of the invention may be provided as salts with pharmaceutically compatible counterions. Pharmaceutically compatible salts may be formed with many  
15 acids, including but not limited to hydrochloric, sulfuric, acetic, lactic, tartaric, malic, succinic, etc. Salts tend to be more soluble in aqueous or other protonic solvents that are the corresponding free base forms.

#### 20                    5.8.3. EFFECTIVE DOSAGE

Pharmaceutical compositions suitable for use in the present invention include compositions wherein the active ingredients are contained in an effective amount to achieve its intended purpose. More specifically, a therapeutically  
25 effective amount means an amount effective to prevent development of or to alleviate the existing symptoms of the subject being treated. Determination of the effective amounts is well within the capability of those skilled in the art, especially in light of the detailed disclosure provided  
30 herein.

For any compound used in the method of the invention, the therapeutically effective dose can be estimated initially from cell culture assays. For example, a dose can be formulated in animal models to achieve a circulating  
35 concentration range that includes the EC<sub>50</sub> (effective dose for 50% increase) as determined in cell culture, i.e., the concentration of the test compound which achieves a half-

maximal inhibition of HIV replication as assayed by the infected cells to retain CD4 expression, to reduce viral p24 or gp120, and to prevent syncytia formation. Such information can be used to more accurately determine useful  
5 doses in humans.

Toxicity and therapeutic efficacy of such compounds can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, e.g., for determining the LD50 (the dose lethal to 50% of the population) and the ED50  
10 (the dose therapeutically effective in 50% of the population). The dose ratio between toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio between LD50 and ED50. Compounds which exhibit high therapeutic indices are preferred. The data obtained  
15 from these cell culture assays and animal studies can be used in formulating a range of dosage for use in humans. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED50 with little or no toxicity. The dosage may vary within this range  
20 depending upon the dosage form employed and the route of administration utilized. The exact formulation, route of administration and dosage can be chosen by the individual physician in view of the patient's condition. (See, e.g. Fingl et al., 1975, in "The Pharmacological Basis of  
25 Therapeutics", Ch.1, p.1).

Dosage amount and interval may be adjusted individually to provide plasma levels of the active moiety which are sufficient to maintain the inhibitory effects. Usual patient dosages for systemic administration range from 100 - 2000  
30 mg/day. Stated in terms of patient body surface areas, usual dosages range from 50 - 910 mg/m<sup>2</sup>/day. Usual average plasma levels should be maintained within 0.1-1000  $\mu$ M.

In cases of local administration or selective uptake, the effective local concentration of the compound may not be  
35 related to plasma concentration.

The amount of composition administered will, of course, be dependent on the subject being treated, on the subject's

body surface area, the severity of the affliction, the manner of administration and the judgment of the prescribing physician.

5 6. **EXAMPLE: ISOLATION AND IDENTIFICATION OF  
HUMAN CELL-DERIVED GSEs EXHIBITING  
HIV-SUPPRESSIVE ACTIVITIES**

6.1. **MATERIALS AND METHODS**

6.1.1. **CONSTRUCTION OF RFE LIBRARIES**

10 Two RFE libraries were constructed from cDNAs of two human cell lines according to the method described by Gudkov et al. (1994, Proc. Natl. Acad. Sci. USA 91:3744). The cDNA prepared from HL-60 cells and HeLa cells was partially digested with DNaseI in the presence of Mn<sup>++</sup> (Sambrook et al.,  
15 1989, Molecular Cloning A Laboratory Manual, Cold Spring Harbor Laboratory, N.Y.). Under these conditions, DNaseI is known to produce mostly double-stranded breaks.

The resulting fragments were repaired with the Klenow fragment of DNA polymerase I and T4 polymerase and ligated to  
20 synthetic double-stranded adaptors. The 5' adaptors (SEQ ID NOS: 21 and 22) were:

5'-CTCGGAATTCAAGCTTATGGATGGATGG

3'CCTTAAGTTCGAATACCTACCTAC-5'

The 3' adaptors (SEQ ID NOS: 23 and 24) were:

25 5'TGAGTGAGTGAATCGATGGATCCGTCT

ACTCACTCACTTAGCTACCTAGGCAGATCCT-5'

In the case of the library made from HL-60 cells, mRNA from uninduced cells was first subtracted from mRNA from cells induced with TNF- $\alpha$ . The subtracted HL-60 library  
30 represents a modification of the procedure described in Coche et al., 1994, Nucleic Acids Res. 22:1322-1323. The tracer mRNA was purified from HL-60 cells containing the LNCX plasmid at different time points after induction with TNF- $\alpha$ . The LNCX gene was used as an internal standard to monitor the  
35 enrichment of the sequences present in the tracer after subtraction. The mRNAs isolated from induced and uninduced cells were annealed separately to oligo dT magnetic beads

(Dyna) and the first cDNA strand was synthesized using reverse transcriptase and oligo dT as the primer. The RNA strand was hydrolyzed and the second strand was synthesized on the induced population using a primer containing three ATG 5 codons and 10 random nucleotides on the 3' end. Single stranded cDNA fragments were annealed to an excess of driver cDNA attached to the magnetic beads. This procedure was repeated several times until substantial enrichment in the spiked LNCX sequence was seen. The final population of 10 single-stranded DNA (ssDNA) molecules was amplified using primers with three TGA codons in all three reading frames with 10 random nucleotides on the 3' end. The resulting population of cDNA fragments were cloned into LNCX. This step was taken to enrich for mRNA encoded by cellular genes 15 that might be important in supporting certain stages of the HIV life cycle in order to compensate for the low efficiency of retroviral transfer into OM10.1 cells. This library was represented by  $10^6$  recombinant clones.

The random fragments of cDNA from HeLa cells were 20 subjected to a normalization procedure to provide for uniform abundance of different DNA sequences (Gudkov and Roninson, 1997, Methods in Molecular Biology 69:221, Humana Press, Inc., Totowa, N.J.). This procedure was used to increase the probability of isolating GSEs from rare cDNAs, since total 25 polyA<sup>+</sup> RNA was a mixture of unequally represented sequences. In brief, the method first denatured 20  $\mu$ g of cDNA by boiling for 5 min. in 25  $\mu$ l of TE buffer, followed by immediate cooling on ice. Then, 25  $\mu$ l of 2X hybridization solution was added, and the mixture was divided into four aliquots in 30 Eppendorf tubes, 12.5  $\mu$ l each. One to two drops of mineral oil were added to each sample to avoid evaporation, and the tubes were placed into a 68°C water bath for annealing. One tube was frozen every 12 hours. After the last time-point, each of the annealing mixtures was diluted with water to a 35 final volume of 500  $\mu$ l and subjected to hydroxylapatite (HAP) chromatography. HAP suspension equilibrated with 0.01 M phosphate-buffered saline (PBS) was placed into Eppendorf

tubes so that the volume of HAP pellet was approximately 100  $\mu$ l. The tubes with HAP and all the solutions used below were preheated and kept at 65°C. The excess of PBS was removed, and diluted annealing solution was added. After mixing by 5 shaking in a 65°C water bath, the tubes were left in the water bath until HAP pellet was formed (a 15-s centrifugation was used to collect the pellet without exceeding 1000g in the microcentrifuge to avoid damage of HAP crystals). The supernatant was carefully replaced with 1 ml of preheated 10 0.01 M PBS, and the process was repeated. To elute the ssDNA, the HAP pellet was suspended in 500  $\mu$ l of PBS at the single-strand elution concentration determined, e.g., 0.16M, the supernatant was collected, and the process was repeated. The supernatants were combined and traces of HAP were removed 15 by centrifugation. The ssDNA was concentrated by centrifugation, and washed three times using 1 ml of water on Centricon-100. The isolated ssDNA sequences were amplified by PCR with the sense primer from the adapter, using a minimal number of cycles to obtain 10  $\mu$ g of the product. The 20 size of the PCR product that remained within the desired range (200-500 bp) was ascertained. The normalization quality was tested by Southern or slot-blot hybridization with  $^{32}$ P-labeled probes for high, moderate- and low-expressing genes using 0.3-1.0  $\mu$ g of normalized cDNA/lane.  $\beta$ -actin and 25  $\beta$ -tubulin cDNAs were used as probes for high-expressing genes, c-myc and topo II cDNAs for moderate, and c-fos cDNA for low-expressing genes. The cDNAs isolated after different annealing times were compared with the original unnormalized cDNA. The probes were ensured to have a similar size and 30 specific activity. The best-normalized ssDNA fraction was used, which produced the most uniform signal intensity with different probes, for large-scale PCR amplification to synthesize at least 20  $\mu$ g of the product for cloning. More ssDNA template was used to obtain the desired amount by 35 scaling up the number of PCR or the reaction volume.

After normalization, the mixture of fragments ligated to adaptors was digested with BamHI and EcoRI, column purified

and ligated to the retroviral vector pLNCX (Miller and Rosman, 1989, BioTechniques 7:980-990) or pLNGFRM cut with EcoRI and BamHI. The pLNGFRM vector is the same as the pLNCX vector except that the *neo<sup>r</sup>* gene has been replaced with a truncated low affinity NGFR gene. The cells transduced with pLNGFRM express the truncated receptor on their surface which can be easily selected by an anti-NGFR antibody and FACS. The ligation mixture was transformed into *E.coli*. The total plasmid was purified from ~100,000 recombinant clones. The size distribution of the cloned fragments was tested by PCR amplification using primers derived from the vector sequences adjacent to the adapters.

#### 6.1.2. CELL LINES AND REAGENTS

The OM10.1 cells are available from the American Type Culture Collection, Manassas, VA as CRL 10850 (Butera, U.S. Patent No. 5,256,534). The CEM-ss cells are available from the NIH AIDS Research and Reference Reagent Program as Cat. No. 776. HIV-1<sub>SF2</sub> is available from NIH AIDS Research and Reference Reagent Program as Cat. No. 275. HL-60 cells are available from American Tissue Culture Collection as CCL 240. HIV-1<sub>IIIB</sub> is available from AIDS Program as Cat. No. 398.

The anti-CD4 (Q4120PE) and anti-p24 (KC-57 FITC) antibodies were purchased from Sigma and Coulter, respectively. TNF- $\alpha$  was obtained from Boehringer Mannheim. G418 was purchased from Gibco/BRL as Geneticin. The anti-NGFR antibody was obtained from the ATCC (HB-8737) as hybridoma 20.4 (U.S. Patent Nos. 4,786,593 and 4,855,241). Two anti-CD4 antibodies (L77 and L120) were obtained from Becton Dickenson.

#### 6.1.3. TRANSDUCTION AND SELECTION OF GSE

The libraries prepared from HL-60 cells according to the method of Section 6.1.1. *supra*, were transfected into the packaging cell line, PA317 (ATCC CRL #9078), and converted into retrovirus for infection of OM10.1 cells. Libraries in pLNCX vector were co-cultured and selected with



G418. Libraries in pLNGFRM vector were transduced by spinoculation (centrifugation of target cells at 1200 xg for 90 min. in the presence of filtered retroviral supernatant) and selected by FACS sorting of the NGFR<sup>+</sup> population. After 5 selection, the OM10.1 cells harboring the entire RFE library were induced with 10 U/ml of TNF- $\alpha$  at 37°C, and 24 hours later, were stained with an antibody and sorted for CD4 expression. Genomic DNA from the CD4<sup>+</sup> cells was purified and used for PCR amplification of inserts with the vector-derived 10 primers. The amplified mixture was digested with EcoRI and BamHI and cloned back into the retroviral vector. The selection was repeated for additional rounds.

Additionally, a normalized RFE library made from HeLa cells was transferred into CEM-ss cells and the neo resistant 15 population was infected with TCID<sub>50</sub> of 3000/10<sup>6</sup> cells of HIV-1<sub>IIIB</sub>. This RFE library was represented by 50x10<sup>6</sup> independent recombinant clones. Four and seven days after infection, a purified anti-CD4 monoclonal antibody, L77 (Becton Dickinson), was added at 5  $\mu$ g/ml to prevent syncytia 20 formation. Syncytia formation is thought to be prevented by blocking the interaction between gp120 expressed on the surface of an infected cell and CD4 on the surface of an uninfected cell. Antibody L77 does not prevent HIV infection of a cell. At 10-12 days after infection, CD4<sup>+</sup>, p24<sup>-</sup> cell 25 population representing uninfected cells were sorted. Genomic DNA from the CD4<sup>+</sup>, p24<sup>-</sup> cells was purified and used for PCR amplification of inserts with the vector-derived primers. The amplified mixture was digested with EcoRI and BamHI and cloned back into the retroviral vector. The 30 selection was repeated for additional rounds.

#### 6.1.4. IMMUNOFLUORESCENCE AND FLOW CYTOMETRY

For the immunofluorescent staining of CD4<sup>+</sup> cells for selection, 10<sup>7</sup> cells were washed twice with Assay Buffer (500 35 ml PBS, 1 ml of 0.5 mM of EDTA at pH 8, 0.5 ml of 10% sodium azide and 10 ml of fetal bovine serum), and resuspended in 500  $\mu$ l PBS to which 50  $\mu$ l of anti-CD4 antibody (Q4120 PE,

Sigma) was added. After incubation at 4°C for 30 min., 5 ml of Assay Buffer was added and the cells centrifuged at 1200 rpm for 4 min. The cells were washed twice with Assay Buffer before sorting by FACS. The aforementioned procedure was  
5 performed under sterile conditions.

In order to determine p24 expression in HIV-infected cells, the cells were first washed twice with Assay Buffer. About 10<sup>6</sup> cells were suspended in 100 µl Assay Buffer, mixed with 2 ml of Ortho PermeaFix Solution (Ortho Diagnostics),  
10 and incubated for 40 min. at room temperature. After centrifugation at 1200 rpm for 4 min. at 4°C, the cells were resuspended in 2 ml Wash Buffer (500 ml PBS, 25 ml fetal bovine serum, 1.5% bovine serum albumin and 0.0055% EDTA) for 10 min. at room temperature. After centrifugation, the cells  
15 were resuspended in 50 µl Wash Buffer and mixed with 1:500 dilution of an IgG<sub>2a</sub> antibody for 20 min. at 4°C, followed by incubation with 5-10 µl of anti-p24 antibody (KC57-FITC, Coulter) for 30 min. at 4°C. The cells were then washed twice with Wash Buffer and analyzed by flow cytometry.

20 For the selection of NGFR<sup>+</sup> cells, 10<sup>7</sup> cells were washed twice with Assay buffer and resuspended in 200 µl Assay buffer plus 5% normal mouse serum, and 2 ml of anti-NGFR-PE antibody was added. After incubation at 4°C for 30 min., 5 ml of Assay buffer was added and the cells were centrifuged  
25 at 1200 rpm for 4 min. The cells were washed twice with Assay buffer before sorting by FACS.

#### 6.1.5. RECOVERY OF GSEs AND SEQUENCE ANALYSIS

Genomic DNA was isolated from the selected  
30 population of OM10.1 or CEM-ss cells harboring putative GSEs by resuspending the cell pellet in 0.1% Triton X-100, 20µg/ml proteinase K in 1x PCR buffer, incubating at 55°C for 1 hour, and boiling for 10 minutes. Genomic DNA was used for PCR amplification using vector-derived primers, cloned into the  
35 retroviral vector, and transformed into *E. coli* using techniques well known in the art. Individual plasmids were purified from *E. coli* clones using QIAGEN plasmid kits.

Inserts were sequenced by the dideoxy procedure (AutoRead Sequencing Kit, Pharmacia Biotech) and run on a Pharmacia LKB A.L.F. DNA sequencer. Sequences were analyzed using the DNASTAR program.

5

## 6.2. RESULTS

Two selection strategies were used to isolate human cell-derived GSEs with HIV-suppressive activities from RFE libraries. One strategy selected for GSEs which suppressed  
10 productive infection of cells by HIV. The second strategy selected for GSEs which suppressed induction of the latent provirus in OM10.1 cells. When OM10.1 cells were treated with TNF- $\alpha$  and stained with an antibody specific for the cell surface molecule CD4, a rapid loss of CD4 expression was  
15 observed (Figure 1). In contrast, the vast majority of the uninduced OM10.1 cells retained CD4 expression. It is believed that activation of the latent virus in OM10.1 cells by TNF- $\alpha$  leads to the production of viral protein gp120, which binds to cytoplasmic CD4, thereby preventing its  
20 translocation to the cell surface. A diminution of CD4<sup>+</sup> OM10.1 cells also correlates with an increased production of viral protein p24 in the cells following TNF- $\alpha$  induction.

GSEs derived from cDNAs representing expressed human cellular genes were identified and isolated from a RFE  
25 library made from HL-60 cells using HIV provirus activation in OM10.1 cells as a read-out. Following transfection of the entire library into a packaging cell line, retrovirus carrying the library was used to infect OM10.1 cells by co-cultivation or spinoculation, and NGFR selection was  
30 performed to ensure the retention of the viral vector. When the infected cells were treated with TNF- $\alpha$ , a small number of residual CD4<sup>+</sup> cells were detected by an anti-CD4 antibody and sorted by FACS. The GSEs contained in these cells were recovered by PCR amplification and their nucleotide sequences  
35 determined.

A total of twenty GSEs were isolated by the two aforementioned selection strategies using OM10.1 and CEM-ss

cells. Six of these GSEs were shown to have substantial sequence identity with cDNAs of genes encoding different subunits of the NADH dehydrogenase enzyme complex. For example, CF-315 (SEQ ID NO:1) is a GSE which suppresses HIV replication as an antisense molecule, which in its sense orientation has sequence identity with a gene encoding a subunit, ND6, of a mitochondrial enzyme, NADH dehydrogenase (Chomyn et al., 1988, Science 234:614) (Figure 2). CF-315 was further shown to protect uninfected human T cells from a productive HIV-1 infection (Figure 3). In this experiment, the retroviral vector, pLNGFRM, containing the CF-315 polynucleotide was transferred into CEM-ss cells followed by NGFR selection. Vector containing plasmid DNA (denoted LNGFRM) was used as negative control. The NGFR<sup>+</sup> cells were 99% CD4<sup>+</sup>, and they were then infected with TCID<sub>50</sub> of 1000 of HIV-1<sub>SP2</sub>. The infected cells were removed at 11, 14, 18, 21, 25, 28, 32, 35 and 39 days after infection, and stained with a fluorescinated-anti-p24 antibody as an indicator of HIV infection. Figure 3 shows that CF-315 inhibited infection of human T cells by HIV-1<sub>SP2</sub>, as compared with negative control of vector plasmid DNA.

CF-319 (SEQ ID NO:2) is a GSE which suppresses HIV replication in the sense orientation and has substantial sequence identity with another portion of the gene encoding the ND6 subunit of NADH dehydrogenase (Figure 4). CF-101 (SEQ ID NO:3) also exhibits its HIV-suppressive activities in the sense orientation and has substantial sequence identity with a gene encoding the ND2 subunit of NADH dehydrogenase (Figure 5) (Chomyn et al., 1985, Nature 314:592). CF-117 (SEQ ID NO:4) suppresses HIV activities as an antisense molecule, and in its sense orientation, it has substantial sequence identity with a gene encoding the ND6 subunit of NADH dehydrogenase (Figure 6). CF-025 (SEQ ID NO:5) suppresses HIV infection as an antisense molecule, and in its sense orientation, it has substantial sequence identity with a gene encoding the ND2 subunit of NADH dehydrogenase (Figure 7). CF-128 (SEQ ID NO:6) suppresses HIV infection in the

sense orientation and it has substantial sequence identity with a gene encoding the ND4 subunit of NADH dehydrogenase (Figure 8).

Since both selection strategies produced GSEs having  
5 substantial sequence identity with different subunits of NADH dehydrogenase, this enzyme complex may play an important role during HIV infection, and thus, methods to down-regulate the expression of this complex or any of its subunits may be used to inhibit HIV replication in infected cells.

10 The selection of GSEs from HeLa cell library using productive infection of CEM-ss cells isolated twelve additional polynucleotides which have substantial sequence identity with other cellular genes. CF-004 (SEQ ID NO:7) suppresses HIV infection as a sense molecule and has  
15 substantial sequence identity with a gene encoding human 2-oxoglutarate dehydrogenase (Figure 9) (Koike, 1995, Gene 158:261-266; Koike et al., 1992, Proc. Natl. Acad. Sci. U.S.A. 89:1963-1967). CF-113 (SEQ ID NO:8) suppresses HIV infection as a sense molecule and has substantial sequence  
20 identity with a gene encoding human M2-type pyruvate kinase and cytosolic thyroid hormone binding protein (Figure 10) (Kato et al., 1989, Proc. Natl. Acad. Sci. U.S.A. 86:7861-7865). CF-204 (SEQ ID NO:9) suppresses HIV infection as an antisense molecule, and in its sense orientation, it has  
25 substantial sequence identity with a gene encoding human calnexin (Figure 11) (David et al., 1993, J. Biol. Chem. 268:9585-9592). CF-001 (SEQ ID NO:10) suppresses HIV infection as an antisense molecule, and in its sense orientation, it has substantial sequence identity with a gene  
30 encoding human ADP-ribosylation factor 3 (Figure 12) (Tsai et al., 1991, J. Biol. Chem. 266:23053-23059). CF-273 (SEQ ID NO: 11) suppresses HIV infection as a sense molecule and has substantial sequence identity with a gene encoding human eukaryotic translation initiation factor 3 (Figure 13)  
35 (Merrick and Hershey, 1996, Translational Control, pp 31-69, Cold Spring Harbor, NY). CF-311 (SEQ ID NO: 12) suppresses HIV infection as an antisense molecule, and in its sense

orientation, it has substantial sequence identity with a gene encoding a human protein tyrosine phosphatase (Figure 14) (Kim et al., 1996, Oncogene, 13:2275-2279). CF-313 (SEQ ID NO: 13) suppresses HIV infection as a sense molecule and has  
5 substantial sequence identity with a gene encoding a human protein tyrosine phosphatase (Figure 15) (Keyse and Emslie, 1992, Nature, 359:644-647). CF-210 (SEQ ID NO: 14) suppresses HIV infection as a sense molecule and has substantial sequence identity with a gene encoding  
10 herpesvirus-associated ubiquitin-specific protease (Figure 16) (Everett et al., 1997, EMBO J. 16:566-577). CF-266 (SEQ ID NO: 15) suppresses HIV infection as an antisense molecule, and in its sense orientation, it has substantial sequence identity with a gene encoding human eukaryotic initiation  
15 factor 4B (Figure 17) (Milburn et al., 1990, EMBO J. 9:2783-2790). CF-302 (SEQ ID NO: 16) suppresses HIV infection as an antisense molecule, and in its sense orientation, it has substantial sequence identity with a gene encoding human CD44 (Figure 18) (Stamenkovic et al., 1991, EMBO J. 10:243-248).  
20 CF-317 (SEQ ID NO: 17) suppresses HIV infection as an antisense molecule, and in its sense orientation, it has substantial sequence identity with a gene encoding human phosphatidylinositol 3-kinase (Figure 19) (Volinia et al., 1995, EMBO J. 14:3339-3348). CF-286 (SEQ ID NO: 18)  
25 suppresses HIV infection as a sense molecule and has substantial sequence identity with a gene encoding human elongation factor-1 $\alpha$  (Figure 20) (Uetsuki et al., 1989, J. Biol. Chem. 264:5791-5798).

In addition, two isolated GSEs did not share  
30 sequence homology with any known genes by sequence comparison. CF-061 (SEQ ID NO: 19) was selected in OM10.1 cells (Figure 21). CF-280 (SEQ ID NO: 20) was selected in CEM-ss cells (Figure 22). These two polynucleotides represent portions of unknown human cellular genes which play  
35 a role in supporting HIV infection.

Figure 23 shows the ability of four exemplary GSEs mentioned above in preventing productive infection of CEM-ss

cells by HIV. While the GSEs were isolated as fragments of distinct cellular genes, they all inhibited HIV infection as shown by a reduction of p24 levels in the infected cells when compared with controls. Additionally, Figure 24 shows the HIV-suppressive activities of CF-001 which functions as an antisense molecule.

7. EXAMPLE: NADH DEHYDROGENASE INHIBITORS  
SUPPRESS HIV INFECTION

10 7.1. MATERIALS AND METHODS

7.1.1. NADH DEHYDROGENASE INHIBITORS

NADH dehydrogenase inhibitors, amytal (Sigma) and mofarotene (Uchida et al., 1994, Int. J. Cancer 58:891-897) were diluted in sterile culture medium and used according to the indicated concentrations .

7.1.2. INHIBITION ASSAYS

OM10.1 cells were cultured in RPMI 1640 glucose-free media prior to and during incubation with NADH dehydrogenase inhibitors and TNF- $\alpha$  induction. The inhibitors were added to the cells followed by TNF- $\alpha$  induction 1-2 hours later. The expression of CD4 by the cells was assessed by anti-CD4 antibody staining and flow cytometry after 24 hour incubation at 37°C.

7.1.3. INFECTION OF FRESHLY ISOLATED CD4<sup>+</sup> CELLS

Human peripheral blood leukocytes (PBLs) were isolated using Ficoll-Hypaque density gradient separation. Cells were washed twice and resuspended in RPMI + 10% FBS + 2% human AB serum + penicillin/streptomycin/glutamine + 100 Units/ml of IL-2 at a concentration of  $0.5 \times 10^6$  cells/ml. PBLs were then activated with phytohemagglutinin at  $0.5 \mu\text{g/ml}$  and placed in a humidified incubator at 37°C/5% CO<sub>2</sub>. After two days of activation,  $10^6$  cells were infected with HIV-1<sub>SF33</sub> at TCID<sub>50</sub> of 1000, in the presence of various concentrations of mofarotene: 0  $\mu\text{M}$ , 1  $\mu\text{M}$ , 0.5  $\mu\text{M}$ , 0.1  $\mu\text{M}$ , and 0.05  $\mu\text{M}$ . A

separate set of uninfected samples under identical concentrations of mofarotene were also maintained as controls. The samples were analyzed by flow cytometry at day 4 and day 6 post infection. The cells were gated for CD3 5 expression (for T cells). Then the expression of CD4 and viral p24 and CD4 was examined by bivariate dot plot.

## 7.2. RESULTS

Since several GSEs have been selected and shown to have 10 substantial sequence identity with cellular genes which encode different subunits of NADH dehydrogenase, two compounds with known NADH dehydrogenase-inhibitory activities were tested for their ability to suppress HIV infection. Figure 25 shows that amytal inhibited the induction of latent 15 HIV provirus in OM10.1 cells in a dose-dependent manner, as shown by its ability to retain CD4 expression by TNF- $\alpha$ -induced OM10.1 cells. In the same assay, mofarotene, which down-regulates mitochondrial gene expression, also inhibited HIV-1 induction at even lower concentrations (Figure 26). At 20 100 nM of mofarotene, 80% of the cells retained CD4 expression, and greater than 80% of the cells remained viable. In addition, when p24 expression was measured as an indication by HIV infection, both amytal and mofarotene also suppressed intracellular p24 levels in the treated cells as 25 compared with untreated controls.

In order to test the ability of a NADH dehydrogenase inhibitor to prevent productive infection of T cells by HIV, human PBLs were isolated and infected with HIV<sub>SF33</sub> in the presence of various concentrations of mofarotene. The CD3<sup>+</sup> T 30 cells were analyzed with respect to their expression of CD4 and viral p24 and the results are shown in Table 1.



Table 1

	HIV	Mofarotene ( $\mu$ M)	%CD4 <sup>+</sup> in T cell population	% p24 <sup>+</sup> in CD4 <sup>+</sup> T population
5	-	1.0	36%	0%
	-	0.5	34%	0%
	-	0.1	34%	0%
	-	0.05	33%	0%
	-	0.0	32%	0%
10				
	+	1.0	25%	10%
	+	0.5	24%	12.5%
	+	0.1	28%	11.4%
	+	0.05	18%	20.5%
15	+	0.0	15%	33%

On day 4 post-infection, there was little detectable difference between PBLs infected with HIV whether or not mofarotene was added. Mafarotene alone did not significantly alter the percentage of CD4<sup>+</sup> T cells, nor did it alter the expression level of CD3 on the cell surface.

On day 6, a significant difference was detected with respect to the percentage of CD4<sup>+</sup> T cells and percentage of p24<sup>+</sup> CD4<sup>+</sup> T cells. In the HIV-infected sample without mofarotene, 33% of CD4<sup>+</sup> T cells were p24<sup>+</sup> and only 15% of T cells were CD4<sup>+</sup>. In the HIV-uninfected control sample, ~32% of T cells were CD4<sup>+</sup>, suggesting a dramatic depletion of CD4<sup>+</sup> T cells by HIV infection. In the HIV-infected sample with 1 $\mu$ M of mofarotene, only 10% of CD4<sup>+</sup> T cells were p24<sup>+</sup>. In addition, ~25% of T cells were CD4<sup>+</sup>, indicating that mofarotene inhibited the depletion of CD4<sup>+</sup> T cells by HIV infection. The level of protection by mofarotene, as measured by the percentage of p24<sup>+</sup> CD4<sup>+</sup> T cells and percentage of CD4<sup>+</sup> cells in the CD3<sup>+</sup> T cell population, diminished with decreased concentrations of mofarotene. While mofarotene alone increased the percentage of CD4<sup>+</sup> T cells in uninfected samples, the effect was minimal (up to 4% higher). At day 6,

mofarotene did not alter the expression level of CD3 on the cell surface. Hence, a NADH dehydrogenase inhibitor prevented productive infection by HIV of primary cultures of human T cells.

5           The present invention is not to be limited in scope by the exemplified embodiments, which are intended as illustrations of individual aspects of the invention. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to  
10 those skilled in the art from the foregoing description and accompanying drawings. Such modifications are intended to fall within the scope of the appended claims.

          All publications cited herein are incorporated by reference in their entirety.

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30

35

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: Holzmayer, Tanya A.  
Dunn, Stephen J.  
Dayn, Andrew
- (ii) TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR  
INHIBITING HUMAN IMMUNODEFICIENCY VIRUS INFECTION BY  
DOWN-REGULATING HUMAN CELLULAR GENES
- (iii) NUMBER OF SEQUENCES: 24
- (iv) CORRESPONDENCE ADDRESS:
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  - (C) CITY: New York
  - (D) STATE: NY
  - (E) COUNTRY: USA
  - (F) ZIP: 10036-2811
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Diskette
  - (B) COMPUTER: IBM Compatible
  - (C) OPERATING SYSTEM: Windows
  - (D) SOFTWARE: FastSEQ for Windows Version 2.0b
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: to be assigned
  - (B) FILING DATE: May 29, 1998
  - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Poissant, Brian M
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  - (C) REFERENCE/DOCKET NUMBER: 9320-0009-999
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  - (C) TELEX: 66141 PENNIE

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 135 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

AGATCCTATT GGTGCGTGGG CTTTGTATGA TTATGGGCGT AGATTAGTAG TAGTTACTGG	60
TTGAACATTG TTTGTTGGTG TATATATTGT AATTGGGATT GCTCGGGGGA ATAGGTTATG	120
TGATTAGGAG TAGGG	135

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 100 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

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GATCCTCCCG AATCAACCCT GACCCCTCTC CTTCATAAAT TATTCAGCTT CCTACACTAT    60
TAAAGTTTAC CACAACCACC ACGCCATCAT ACTCCTTCAC    100
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## (2) INFORMATION FOR SEQ ID NO:3:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 143 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

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CATCAGCCCT TCTTAACATC TACTTCTACC TACGCCTAAT CTAATCCACC TCAATCACAC    60
TACTCCCAT ATCTAACAAC GTAAAAATAA AATGACAGTT TGAGCATACA AAACCCACCC    120
CATTCCTCCC CACACTCATC GCC    143
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## (2) INFORMATION FOR SEQ ID NO:4:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 100 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

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GTGAAAGAGT ATGATGGGGT GGTGGTTGTC GTAAACTTTA ATAGTGTAGG AAGCTGAATA    60
ATTTATAAAG GAGAGGGGAC AGGTTGATT CGGGAGGATC    100
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## (2) INFORMATION FOR SEQ ID NO:5:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 116 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

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GAAGAAGCAG GCCGGATGTC AGAGGGGTGC CTTGGGTAAC CTCTGGGACT CAGAAGTGAA    60
AGGGGGCTAT TCCTAGTTTT ATTGCTATAG CCATTATGAT TATTAATGAT GAGTAT    116
```

## (2) INFORMATION FOR SEQ ID NO:6:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 127 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

TCCTAGTCCT CACAATCATG GCAAGCCAGC GCCACTTATC CAGTGAACCA CTATCACGAA 60  
 AAAAATCTA CCTCTCTATA CTAATCTCCC TACAAATCTC CTTAATTATA ATATTCACAA 120  
 CCACAGA 127

## (2) INFORMATION FOR SEQ ID NO:7:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 105 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

GCGCAAAGCA CGCGACATGG TGGGGCAGGT GGCCATCACA AGGATTGAGC AGCTGTCGCC 60  
 ATTCCCCTTT GACCTCCTGC TGAAGGAGGT GCAGAAGTAC CCCAA 105

## (2) INFORMATION FOR SEQ ID NO:8:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 105 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

GAAATCCTGG AGGCCAGTGA TGGGATCATG GTGGCTCGCG GTGATCTAGG CATTGAGATT 60  
 CCTGCAGAGA AGGTCTTCCT TGCTCAGAAG ATGATAATTG GACGG 105

## (2) INFORMATION FOR SEQ ID NO:9:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 107 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

GGTTGTCAAT CACAGGTCGC CACCAGACAC CACATCCAGG AGCTGACTCA CATCTAGGGT 60  
 TGGCAATCTG AGGAGCCTCC CATTCTCCAT CCATGTCTTC ATCCCAA 107

## (2) INFORMATION FOR SEQ ID NO:10:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 121 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

CCACATTGAA CCCAATGGTA GGGATGGTGG TGACGATCTC CCCCAGTTTC AGCTTGTATA 60  
 GGATGGTGGT CTTTCCTGCG GCATCCAGGC CCACCATCAG GATGCGCATC TCCTTCTTCC 120  
 C 121

## (2) INFORMATION FOR SEQ ID NO:11:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 114 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

GCTCGTCAGT GTCCACCCCT CCTCGCAACT CCAGGCGCTC CTTTTCTGC TCCATATAGA 60  
GCTCCTGGGC CATTTTCCGG TACTTCCGGA AATCTTCCAT CATGGTGCGC CTTC 114

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 78 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

GGGGGCTCTG TTTGGTGGTC TCTCTAGCTG CACTGGTCTA TCAAGCTGTT GGCTGGTCTC 60  
TCTCTCTGGC TGGGGATC 78

(2) INFORMATION FOR SEQ ID NO:13:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 95 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

CATTTTGTTA CATAAGGATG ACTTTTTTAT ACAATGGAAT AAATTATGGC ATTTCTATTG 60  
AAATTCAAC GCTTTTGTTT CTTTGGCAAC CACAC 95

(2) INFORMATION FOR SEQ ID NO:14:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 114 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

GCATTACAAA GAGTGTTCTA TGAATTACAG CATAGTGATA AACCTGTAGG AACAAAAAAG 60  
TTAACAAAGT CATTTGGGTG GGAAACTTTA GATAGCTTCA TGCAACATGA TGTT 114

(2) INFORMATION FOR SEQ ID NO:15:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 97 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

GCCGTCTTTC TACTTCTCTT TCTCAAGCAG CAGTGTCAAC AGGCTTTGCC CCTCCAAAGA 60  
TAGAAGCAGC TCGAGTGGAC TGGGAGGTAC TAGCAGA 97

(2) INFORMATION FOR SEQ ID NO:16:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 73 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:

GATCCCTGGG CGCGGGGCAG GGGCCGGCGG AGGACGGGAC GAGGATGGCG GACCGAACCT 60  
GGCAGAGGCT GGG 73

## (2) INFORMATION FOR SEQ ID NO:17:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 103 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:

CCAAGCTTCC TATCTTAAGC TGGGCGTTGA TATCCAGGTC ACAACTATAG ATGTAGTGAA 60  
ACTTCTCTGC TTCCCCCATC GCACCGTCTG CAAAGGTACC ACC 103

## (2) INFORMATION FOR SEQ ID NO:18:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 145 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

CAGTTGGGGT GGGTGTCTATC AAAGCAGTGG ACAAGAAGGC TGCTGGAGCT GGCAAGGTCA 60  
CCAAGTCTGC CCAGAAAGCT CAGAAGGCTA AATGAATATT ATCCCTAATA CCTGCCACCC 120  
CACTCTTAAT CAGTGGTGGA AGAAC 145

## (2) INFORMATION FOR SEQ ID NO:19:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 173 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:

ACACACAGAC ACACAGACAC AGAGAGACAC ACAGACACAC ACACAGAGAT ACACAGAGAC 60  
ACAGACACAG AAACACTCTG AGAGACACAC ACACAGAGAC ACACAGACAC ACAGACACAG 120  
AGAGACACAC AGACACACAC ACACAGAGAT ACACAGAGAC ACAGACACAG AAA 173

## (2) INFORMATION FOR SEQ ID NO:20:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 233 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

GTGCCGTATG AATATACAAA ATAATGGCAT CAGGGATCCC TGTGCTCATT CACATAGCTA 60

GGGACAACAG	GATTTTCATCT	CCAGGAAACT	CAGTAGTATA	CTTTTGTGAC	TTCTCTCTTT	120
AAGCACCAAA	GCATACTTTC	AGGGAAAAAC	AAAAAAGAGA	TTAAAAATGT	AAAGAATTCT	180
TTCATGCTGC	TTGGAGAGGT	GAGGGAAGGT	AGCCCACTGA	AAGTGACAGA	GAA	233

## (2) INFORMATION FOR SEQ ID NO:21:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 28 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:

CTCGGAATTC	AAGCTTATGG	ATGGATGG	28
------------	------------	----------	----

## (2) INFORMATION FOR SEQ ID NO:22:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 24 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:

CATCCATCCA	TAAGCTTGAA	TTCC	24
------------	------------	------	----

## (2) INFORMATION FOR SEQ ID NO:23:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 27 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:

TGAGTGAGTG	AATCGATGGA	TCCGTCT	27
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## (2) INFORMATION FOR SEQ ID NO:24:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 31 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:

TCCTAGACGG	ATCCATCGAT	TCACTCACTC	A	31
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**WHAT IS CLAIMED IS:**

1. An HIV inhibitory composition, comprising an isolated polynucleotide corresponding to a portion of a cellular gene or a complement thereof, said gene encodes an intracellular product which is necessary for a productive HIV infection, said polynucleotide is operably linked to a regulatory sequence, and expression of said polynucleotide in a host cell inhibits infection by HIV.
2. The composition of Claim 1 in which inhibition of infection by HIV is measured by continued CD4 expression in the cells following HIV infection.
3. The composition of Claim 1 in which inhibition of infection by HIV is measured by decreased viral p24 expression in the cells following HIV infection.
4. The composition of Claim 1 in which the cellular gene encodes a subunit of NADH dehydrogenase.
5. The composition of Claim 4 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO.: 1.
6. The composition of Claim 4 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO.: 2.
7. The composition of Claim 4 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO.: 3.
8. The composition of Claim 4 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO.: 4.

9. The composition of Claim 4 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 5.
- 5 10. The composition of Claim 4 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 6.
11. The composition of Claim 1 in which the cellular  
10 gene encodes 2-oxoglutarate dehydrogenase.
12. The composition of Claim 11 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 7.
- 15 13. The composition of Claim 1 in which the cellular gene encodes pyruvate kinase.
14. The composition of Claim 13 in which the  
20 polynucleotide comprises the sequence as depicted in SEQ ID NO: 8.
15. The composition of Claim 1 in which the cellular gene encodes calnexin.
- 25 16. The composition of Claim 15 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 9.
- 30 17. The composition of Claim 1 in which the cellular gene encodes an ADP-ribosylation factor 3.
18. The composition of Claim 17 in which the polynucleotide comprises the sequence as depicted in SEQ ID  
35 NO: 10.

19. The composition of Claim 1 in which the cellular gene encodes eukaryotic initiation factor 3.

20. The composition of Claim 19 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 11.

21. The composition of Claim 1 in which the cellular gene encodes a protein tyrosine phosphatase.

10

22. The composition of Claim 21 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 12.

15 23. The composition of Claim 21 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 13.

24. The composition of Claim 1 in which the cellular gene encodes herpesvirus-associated ubiquitin-specific protease.

25. The composition of Claim 24 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 14.

26. The composition of Claim 1 in which the cellular gene encodes eukaryotic initiation factor 4B.

30 27. The composition of Claim 26 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 15.

28. The composition of Claim 1 in which the cellular gene encodes phosphatidylinositol 3 kinase.

35

29. The composition of Claim 28 in which the polynucleotide comprises the sequence as depicted in SEQ ID NO: 17.

5 30. The composition of Claim 1 in which the cellular gene encodes elongation factor 1 alpha.

31. The composition of Claim 30 in which the polynucleotide comprises the sequence as depicted in SEQ ID  
10 NO: 18.

32. The composition of Claim 1 in which the polynucleotide comprises the sequence as depicted in SEQ ID  
NO: 19.

15

33. The composition of Claim 1 in which the polynucleotide comprises the sequence as depicted in SEQ ID  
NO: 20.

20 34. An HIV inhibitory composition, comprising an isolated polynucleotide corresponding to a portion of a gene encoding human CD44 or a complement thereof, said polynucleotide is operably linked to a regulatory sequence and expression of said polynucleotide in a host cell inhibits  
25 infection by HIV.

35. The composition of Claim 34 in which the polynucleotide comprises the sequence as depicted in SEQ ID  
NO:16.

30

36. An expression vector containing the polynucleotide of Claim 5, 6, 7, 8, 9, 10, 12, 14, 16, 18, 20, 22, 23, 25, 27, 29, 31, 32, 33 or 35.

35 37. A genetically-engineered host cell containing the polynucleotide of Claim 5, 6, 7, 8, 9, 10, 12, 14, 16, 18, 20, 22, 23, 25, 27, 29, 31, 32, 33 or 35.

38. A pharmaceutical composition, comprising the polynucleotide of Claim 5, 6, 7, 8, 9, 10, 12, 14, 16, 18, 20, 22, 23, 25, 27, 29, 31, 32, 33 or 35.

5 39. A method for identifying a cellular gene which encodes a product necessary for HIV infection, comprising:

- (a) constructing an expression library of polynucleotide fragments from cellular DNA;
- 10 (b) transferring the expression library into host cells; and
- (c) selecting the cells that contain a polynucleotide which inhibits HIV infection by measuring levels of a detectable marker in the cells.

15

40. The method of Claim 39, in which the cells contain an inducible latent HIV provirus.

41. The method of Claim 40, in which the host cells are  
20 OM10.1.

42. The method of Claim 40, in which the cells are induced by TNF- $\alpha$ .

25 43. The method of Claim 39, in which the marker is cellular CD4.

44. The method of Claim 39, in which expression of the marker is retained by the host cells.

30

45. The method of Claim 39, in which the marker is viral protein p24.

46. The method of Claim 39, in which the marker is  
35 viral protein gp120.

47. The method of Claim 45 or 46 in which expression of the marker is suppressed in the host cells.
48. A method for protecting host cells from HIV  
5 infection, comprising introducing an effective amount of the composition of Claim 1.
49. The method of Claim 48, in which the composition is introduced into the host cells *in vitro*.
- 10 50. The method of Claim 48, in which the composition is introduced into the host cells *in vivo*.
51. A method for treating HIV infection, comprising  
15 administering a therapeutically effective amount of the composition of Claim 1 to an individual to inhibit HIV infection.
52. A method for inhibiting HIV infection, comprising  
20 administering a therapeutically effective amount of an inhibitor of a cellular gene to an individual to inhibit HIV infection.
53. The method of Claim 52 in which the inhibitor down-  
25 regulates gene expression of a subunit of NADH dehydrogenase.
54. The method of Claim 53 in which the inhibitor is mofarotene.
- 30 55. The method of Claim 52 in which the inhibitor interferes with enzyme activities.
56. The method of Claim 55 in which the inhibitor is amytal.
- 35 57. The method of Claim 52 in which the inhibitor is a 2-oxoglutarate dehydrogenase inhibitor.

58. The method of Claim 52 in which the inhibitor is a pyruvate kinase inhibitor.

59. The method of Claim 52 in which the inhibitor is a calnexin inhibitor.

60. The method of Claim 52 in which the inhibitor is an ADP-ribosylation factor 3 inhibitor.

10 61. The method of Claim 52 in which the inhibitor is ubiquitin-specific protease inhibitor.

62. The method of Claim 52 in which the inhibitor is CD44 inhibitor.

15

63. The method of Claim 52 in which the inhibitor is tyrosine phosphatase inhibitor.

64. The method of Claim 52 in which the inhibitor is phosphatidylinositol kinase inhibitor.

20

65. The method of Claim 52 in which the inhibitor is an eukaryotic elongation factor inhibitor.

25 66. The method of Claim 52 in which the inhibitor is eukaryotic initiation factor 3 inhibitor.

67. The method of Claim 52 in which the inhibitor is eukaryotic initiation factor 4B inhibitor.

30

68. A method for selecting HIV an inhibitor, comprising:

- (a) exposing a mammalian cell to a test compound;
- (b) measuring the expression of a cellular gene or the activities of its encoded product in said cell; and

35

- (c) selecting the compound which down-regulates expression of said gene or interferes with the activities of its encoded product.

5        69. The method of Claim 68 in which the cellular gene encodes a subunit of NADH dehydrogenase.

70. The method of Claim 68 in which the cellular gene encodes M2-type pyruvate kinase and cytosolic thyroid hormone  
10 binding protein.

71. The method of Claim 68 in which the cellular gene encodes calnexin.

15        72. The method of Claim 68 in which the cellular gene encodes ADP-ribosylation factor 3.

73. The method of Claim 68 in which the cellular gene encodes eukaryotic initiation factor 3.

20

74. The method of Claim 68 in which the cellular gene encodes a tyrosine phosphatase.

75. The method of Claim 68 in which the cellular gene  
25 encodes herpes-associated ubiquitin-specific protease.

76. The method of Claim 68 in which the cellular gene encodes eukaryotic initiation factor 4B.

30        77. The method of Claim 68 in which the cellular gene encodes CD44.

78. The method of Claim 68 in which the cellular gene encodes phosphatidylinositol 3 kinase.

35

79. The method of Claim 68 in which the cellular gene encodes elongation factor 1 alpha.



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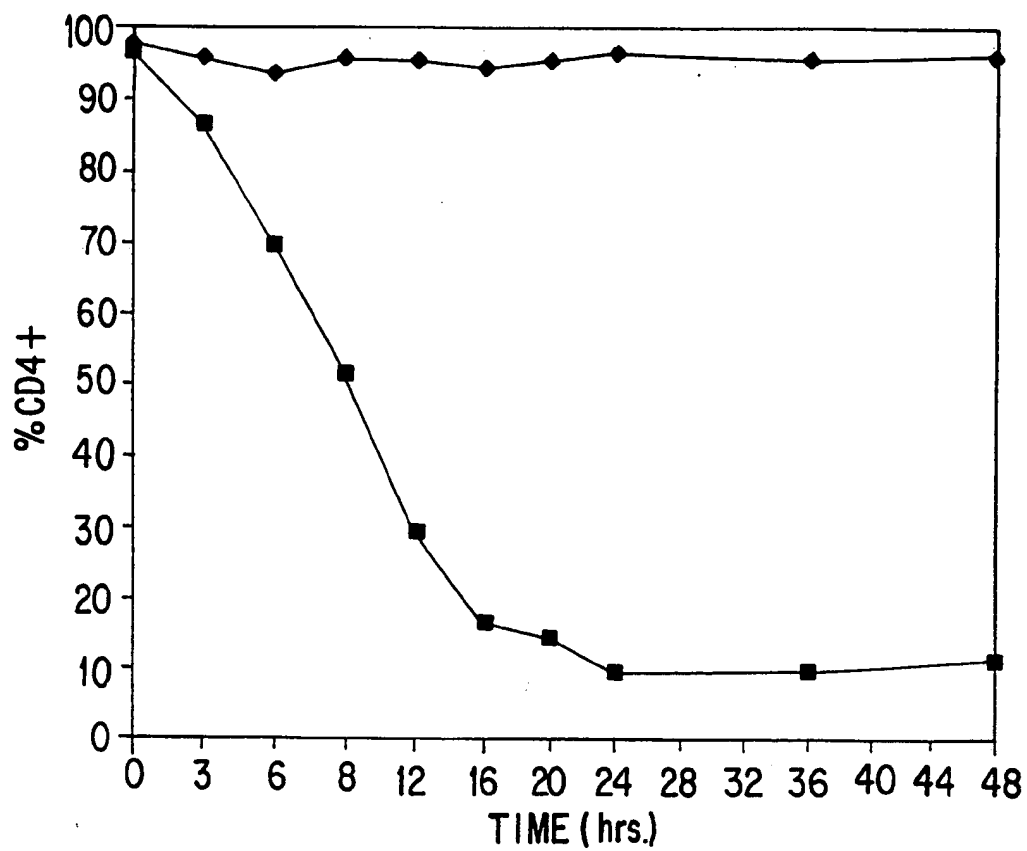


FIG. 1

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CF-315

AGATCCTATT GGTGCGTGGG CTTTGTATGA TTATGGGCGT  
 AGATTAGTAG TAGTTACTGG TTGAACATTG TTTGTTGGTG  
 TATATATTGT AATTGGGATT GCTCGGGGA ATAGTTATG  
 TGATTAGGAG TAGGG

FIG.2

CF-319

GATCCTCCCG AATCAACCCT GACCCCTCTC CTTCATAAAT  
 TATTCAGCTT CCTACACTAT TAAAGTTTAC CACAACCACC  
 ACGCCATCAT ACTCCTTCAC

FIG.4

CF-101

CATCAGCCCT TCTTAACATC TACTTCTACC TACGCCTAAT  
 CTAATCCACC TCAATCACAC TACTCCCAT ATCTAACAAC  
 GTAAAAATAA AATGACAGTT TGAGCATACA AAACCCACCC  
 CATTCCTCCC CACACTCATC GCC

FIG.5

CF-117

GTGAAAGAGT ATGATGGGGT GGTGGTTGTC GTAAACTTTA  
 ATAGTGTAGG AAGCTGAATA ATTTATAAAG GAGAGGGGAC  
 AGGGTTGATT CGGGAGGATC

FIG.6

CF-025

GAAGAAGCAG GCCGGATGTC AGAGGGGTGC CTTGGGTAAC  
 CTCTGGGACT CAGAAGTGAA AGGGGGCTAT TCCTAGTTTT  
 ATTGCTATAG CCATTATGAT TATTAATGAT GAGTAT

FIG.7

CF-128

TCCTAGTCCT CACAATCATG GCAAGCCAGC GCCACTTATC  
 CAGTGAACCA CTATCAGGAA AAAAATCTA CCTCTCTATA  
 CTAATCTCCC TACAAATCTC CTTAATTATA ATATTCACAA  
 CCACAGA

FIG.8

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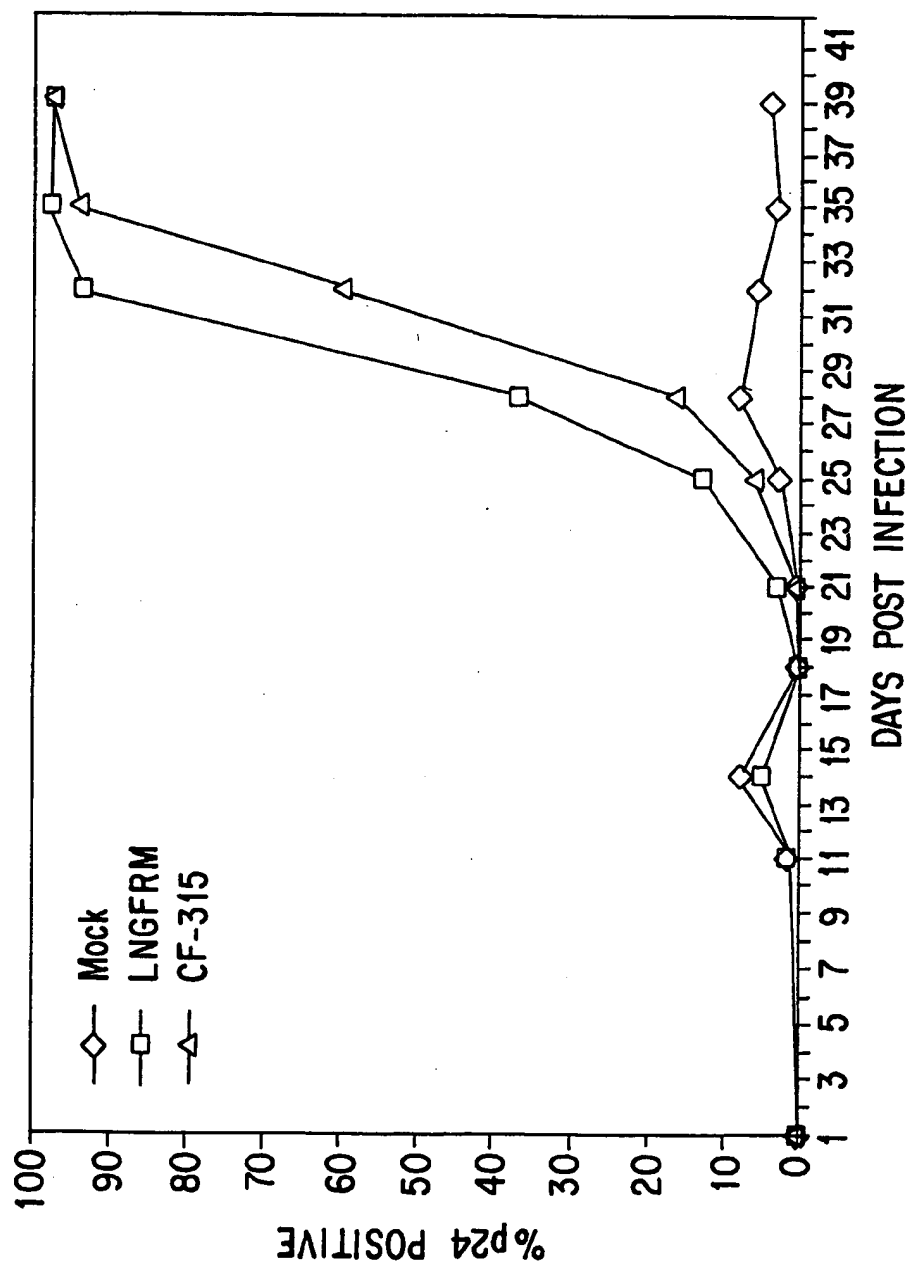


FIG. 3

4/9

CF-004

GCGCAAAGCA CCGGACATGG TGGGGCAGGT GGCCATCACA  
AGGATTGAGC AGCTGTCGCC ATTCCCCTTT GACCTCCTGC  
TGAAGGAGGT GCAGAAGTAC CCCAA

**FIG.9**

CF-113

GAAATCCTGG AGGCCAGTGA TGGGATCATG GTGGCTCGCG  
GTGATCTAGG CATTGAGATT CCTGCAGAGA AGGTCTTCCT  
TGCTCAGAAG ATGATAATTG GACGG

**FIG.10**

CF-204

GGTTGTCAAT CACAGGTCGC CACCAGACAC CACATCCAGG  
AGCTGACTCA CATCTAGGGT TGGCAATCTG AGGAGCCTCC  
CATTCTCCAT CCATGTCTTC ATCCCAA

**FIG.11**

CF-001

CCACATTGAA CCCAATGGTA GGGATGGTGG TGACGATCTC  
CCCCAGTTTC AGCTTGTATA GGATGGTGGT CTTTCCTGCG  
GCATCCAGGC CCACCATCAG GATGCCCATC TCCTTCTTCC  
C

**FIG.12**

CF-273

GCTCGTCAGT GTCCACCCCT CCTCGCAACT CCAGGCGCTC  
GTTTTTCTGC TCCATATAGA GTCCTGGGC CATTTTCCGG  
TACTTCCGGA AATCTTCCAT CATGGTGCGC CTTC

**FIG.13**

CF-311

GGGGGCTCTG TTTGGTGGTC TCTCTAGCTG CACTGGTCTA  
TCAAGCTGTT GGCTGGTCTC TCTCTCTGGC TGGGGATC

**FIG.14**

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CF-313

CATTTTGTTA CATAAGGATG ACTTTTTTAT ACAATGGAAT  
AAATTATGGC ATTTCTATTG AAATTTCAAC GCTTTTGTTT  
CTTTGGCAAC CACAC

FIG.15

CF-210

GCATTACAAA GAGTGTCTA TGAATTACAG CATAGTGATA  
AACCTGTAGG AACAAAAAG TTAACAAAGT CATTGGGTG  
GGAACTTTA GATAGCTTCA TGCAACATGA TGTT

FIG.16

CF-266

GCCGTTCTTC TACTTCTCTT TCTCAAGCAG CAGTGTCAAC  
AGGCTTTGCC CCTCCAAAGA TAGAAGCAGC TCGAGTGGAC  
TGGGAGGTAC TAGCAGA

FIG.17

CF-302

GATCCCTGGG CGCGGGGCAG GGGCCGGCGG AGGACGGGA  
GAGGATGGCG GACCGAACCT GGCAGAGGCT GGG

FIG.18

CF-317

CCAAGCTTCC TATCTTAAGC TGGGCGTTGA TATCCAGGTC  
ACAACTATAG ATGTAGTGAA ACTTCTCTGC TTCCCCATC  
GCACCGTCTG CAAAGGTACC ACC

FIG.19

CF-286

CAGTTGGGGT GGGTGTATC AAAGCAGTGG ACAAGAAGGC  
TGCTGGAGCT GGCAAGGTCA CCAAGTCTGC CCAGAAAGCT  
CAGAAGGCTA AATGAATATT ATCCCTAATA CCTGCCACCC  
CACTCTTAAT CAGTGGTGA AGAAC

FIG.20

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CF-061

ACACACAGAC ACACAGACAC AGAGAGACAC ACAGACACAC  
ACACAGAGAT ACACAGAGAC ACAGACACAG AAACACTCTG  
AGAGACACAC ACACAGAGAC ACACAGACAC ACAGACACAG  
AGAGACACAC AGACACACAC ACACAGAGAT ACACAGAGAC  
ACAGACACAG AAA

FIG.21

CF-280

GTGCCGTATG AATATACAAA ATAATGGCAT CAGGGATCCC  
TGTGCTCATT CACATAGCTA GGGACAACAG GATTTCATCT  
CCAGGAAACT CAGTAGTATA CTTTGTGAC TTCTCTCTTT  
AAGCACCAAA GCATACTTTC AGGGAAAAAC AAAAAAGAGA  
TTAAAAATGT AAAGAATTCT TTCATGCTGC TTGGAGAGGT  
GAGGGAAGGT AGCCCACTGA AAGTGACAGA GAA

FIG.22

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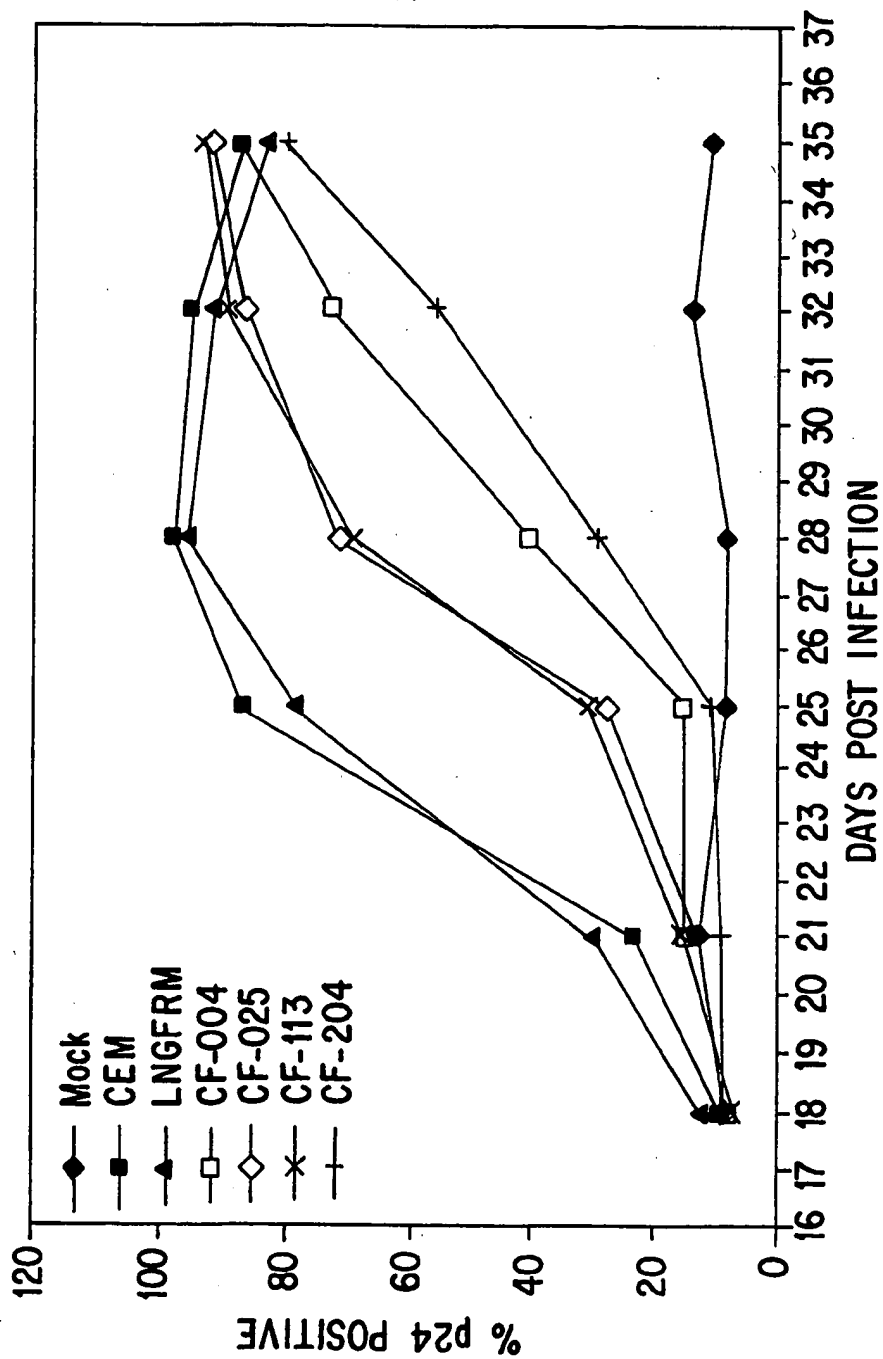


FIG. 23

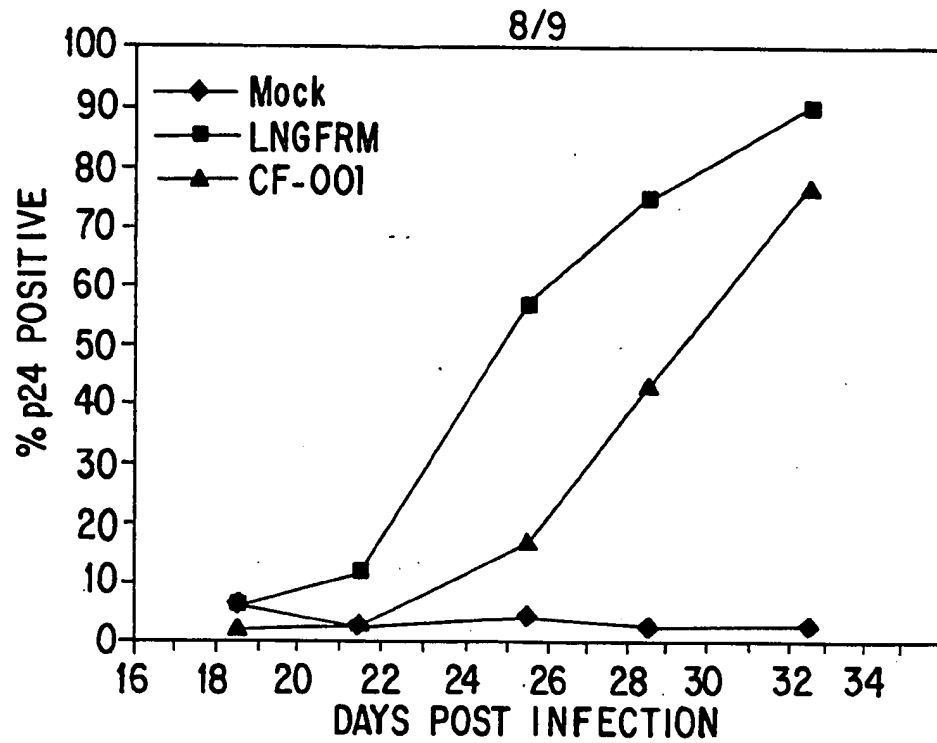


FIG. 24

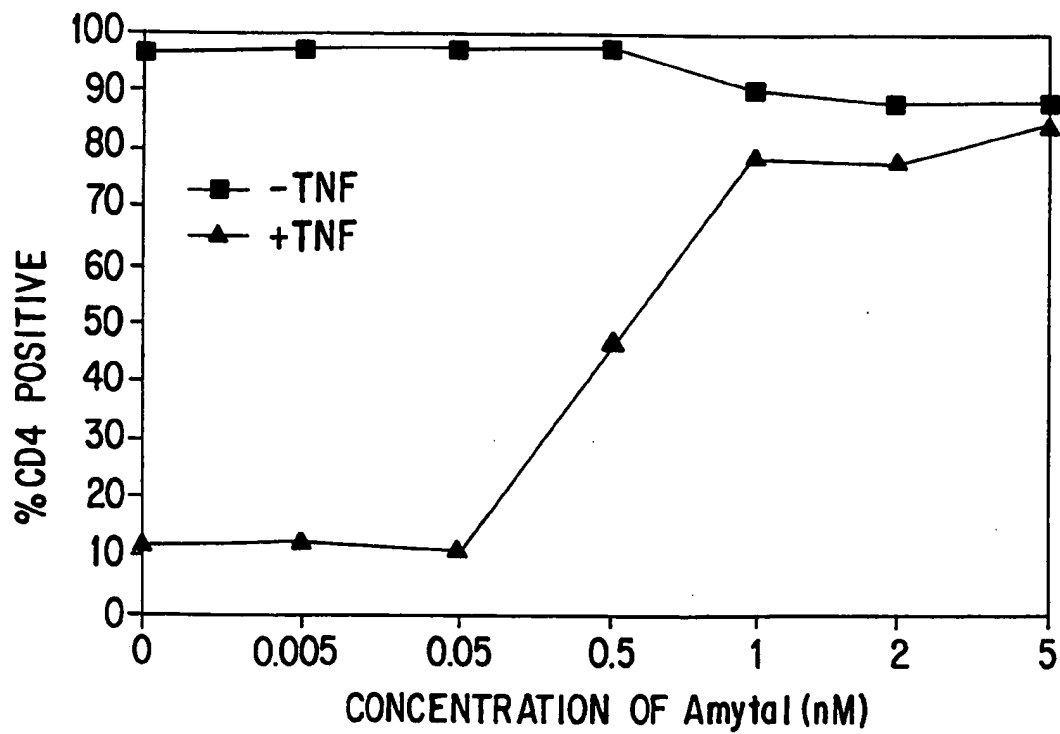


FIG. 25



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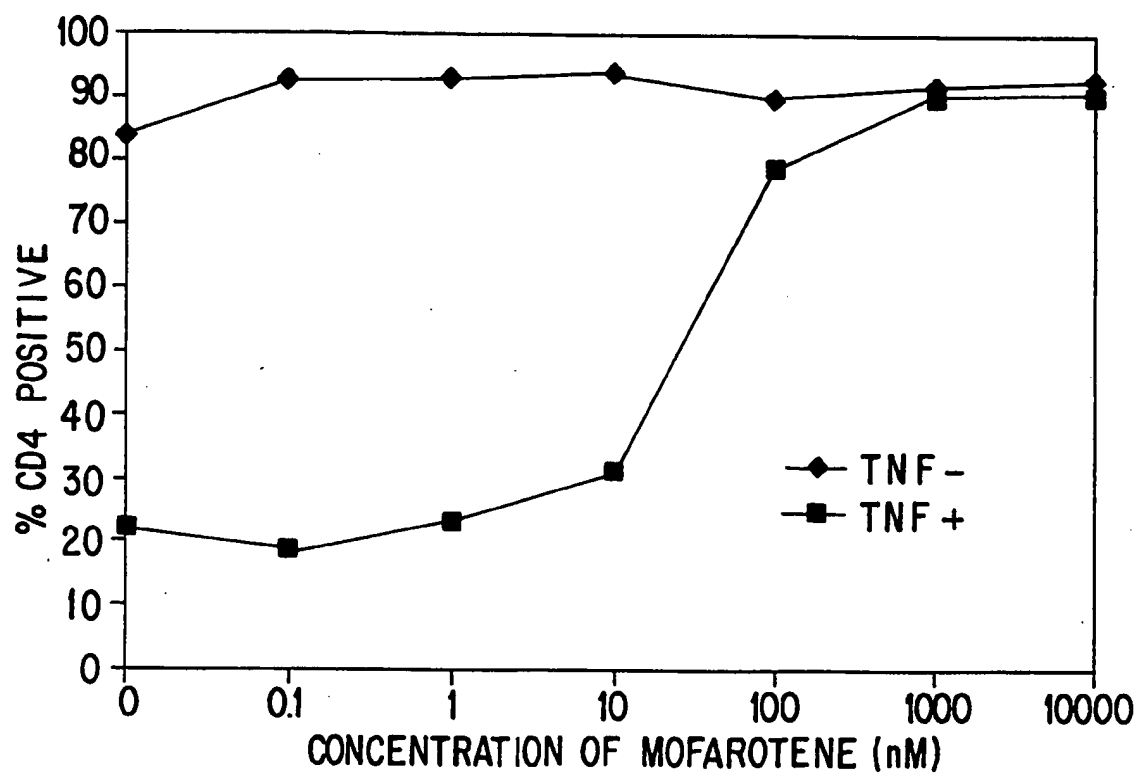


FIG. 26

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/11452

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : Please See Extra Sheet.

US CL : 435/6, 91.1, 320.1, 325; 536/23.1, 24.31, 24.5; 514/44

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/6, 91.1, 320.1, 325; 536/23.1, 24.31, 24.5; 514/44

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

IALOG, MEDLINE, BIOSIS, CA SEARCH, DERWENT BIOTECHABS, DERWENT WPI, APS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,217,889 A (RONINSON et al) 08 June 1993, col. 1, line 1-col. 6, line 58 and col. 9, line 51-col. 10, line 19.	1-4, 11, 13, 15, 17, 19, 21, 24, 26, 28, 30, 34, 39-79
Y	FOLKS et al. Tumor Necrosis Factor alpha Induces Expression of Human Immunodeficiency Virus in a Chronically Infected T -Cell Clone. Proc. Natl. Acad. Sci. USA. April 1989. Vol. 86. Pages 2365-2368, see abstract.	1-4, 11, 13, 15, 17 39, 40, 42
Y	Database GenBank on MPSRCH, US Patent and Trademark Office, (Crystal City, VA, USA), Accession No. D38112, HAYASAKA, K. et al. 'Direct Submission,' 12 April 1996, see abstract.	1-4



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

30 AUGUST 1998

Date of mailing of the international search report

13 OCT 1998

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
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Authorized officer

  
MARK SHIBUYA

Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/11452

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Database GenBank on MPSRCH, US Patent and Trademark Office, (Crystal City, VA, USA), Accession No. X62996, MARZUKI, S. et al. 'Direct submission,' 27 August 1992, see abstract.	1-4
Y	Database GenBank on MPSRCH, US Patent and Trademark Office, (Crystal City, VA, USA), Accession No. D10523, KOIKE et al. 'Cloning and nucleotide sequence of the cDNA encoding human 2-oxoglutarate dehydrogenase (lipoamide), 13 September 1995, see abstract.	1-3, 11
Y	Database GenBank on MPSRCH, US Patent and Trademark Office (Crystal City, VA, USA), Accession No. M23725, TANI et al. 'Human M2-type pyruvate kinase: cDNA cloning, chromosomal assignment and expression in hepatoma', 24 September 1992, see abstract.	1-3, 13
Y	Database GenBank on MPSRCH, US Patent and Trademark Office, (Crystal City, VA, USA), Accession No. M98452, GALVIN et al. 'The major histocompatibility complex class I antigen-binding protein p88 is the product of the calnexin gene,' 29 September 1992, see abstract.	1-3, 15
Y	Database GenBank on MPSCHR, US Patent and Trademark Office, (Crystal City, VA, USA), Accession No. H38436, HILLIER et al. 'The WashU-Merck EST Project', 16 August 1995, see abstract.	1-3, 17

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/11452

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 5-10, 12, 14, 16, 18, 20, 22, 23, 24, 27, 29, 31-33, and 35-38  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
  
Please See Extra Sheet.
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/11452

### A. CLASSIFICATION OF SUBJECT MATTER:

IPC (6):

C12Q 1/68; C12N 15/00, 15/1115/85; C12P 19/34; A61K 48/00; C07H 21/04

### BOX I. OBSERVATIONS WHERE CLAIMS WERE FOUND UNSEARCHABLE

2. Where no meaningful search could be carried out, specifically:

Claims 5-10, 12, 14, 16, 18, 20, 22, 23, 24, 27, 29, 31-33, and 35-38 are drawn to nucleotide sequences (SEQ ID NOs:) but no diskette containing a nucleotide sequence listing accompanied this international application. Because the SEQ ID NOs claimed in claims 5-10, 12, 14, 16, 18, 20, 22, 23, 24, 27, 29, 31-33, and 35-38 could not be searched, said claims are unsearchable.